

Journal Pre-proof

EEG biomarkers from anesthesia induction to identify vulnerable patients at risk for postoperative delirium

Marie Pollak, Sophie Leroy, Vera Röhr, Emery Neal Brown, Claudia Spies, Susanne Koch.

DOI: https://doi.org/10.1097/ALN.000000000004929

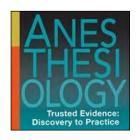
To appear in: Anesthesiology

Submitted for publication: July 21, 2023

Accepted for publication: January 19, 2024

Please cite this article as: Pollak M, Leroy S, Röhr V, Brown EN, Spies C, Koch S: EEG biomarkers from anesthesia induction to identify vulnerable patients at risk for postoperative delirium. Anesthesiology. 2024; https://doi.org/10.1097/ALN.00000000000004929

This is a PDF file of an article that has undergone enhancements after acceptance, such as the addition of a cover page and metadata, and formatting for readability, but it is not yet the definitive version of record. This version will undergo additional copyediting, typesetting and review before it is published in its final form, but we are providing this version to give early visibility of the article. Please note that, during the production process, errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.



9

Anesthesiology Publish Ahead of Print

DOI: 10.1097/ALN.0000000000004929

Technology, Cambridge, MA 02139

EEG biomarkers from anesthesia induction to identify vulnerable patients at risk for postoperative delirium

Marie Pollak¹*, Sophie Leroy¹*, Vera Röhr², Emery Neal Brown³⁻⁴, Claudia Spies¹, Susanne Koch^{1,5}

¹Charité – Universitätsmedizin Berlin, corporate member of Freie Universität Berlin and Humboldt-Universität zu Berlin, Department of Anesthesiology and Operative Intensive Care Medicine, Berlin, Germany

²Neurotechnology Group, Technische Universität Berlin, Berlin, Germany

³Harvard-MIT Health Sciences and Technology Program, Massachusetts Institute of

⁴Department of Anesthesia, Critical Care and Pain Medicine, Massachusetts General Hospital, Harvard Medical School, Boston, MA 02114

⁵University of Southern Denmark (SDU) Odense, Region Sjælland - Nykøbing F. Sygehus, Department of Anesthesia, Denmark

*both first authors contributed equally to the manuscript

Correspondence: Assoc. Prof. Dr. Susanne Koch Department of Anaesthesiology and Intensive Care Medicine Charité - Universitätsmedizin Berlin, Campus Charité Mitte and Virchow-Klinikum Augustenburger Platz 1, D-13353 Berlin, Germany ORCID ID 0000-0001-5663-7447 e-mail: susanne.koch@charite.de

Funding: The research leading to these results was supported by the German Research Society

- Project number KO 4249/3-1 and Charité – Universitätsmedizin Berlin.

The article processing charge was funded by Charité Research Support.

Conflicts of Interests/Financial Disclosures:

Marie Pollak: None.

Sophie Leroy: None.

Vera Röhr: None.

Emery Neal Brown: holds patents on anesthetic state monitoring and control. E.N.B. holds a

founding interest in PASCALL, a start-up developing physiological monitoring systems;

receives royalties from intellectual property through Massachusetts General Hospital licensed

to Masimo. The interests of E.N.B. were reviewed and are managed by Massachusetts General

Hospital and Mass General Brigham in accordance with their conflict-of-interest policies.

Claudia Spies: is an inventor on patents, she reports grants during the conduct of a study from

European Commission, from Aridis Pharmaceutical Inc., B. Braun Melsung, Drägerwerk AG

& Co. KGaA, German Research Society, German Aerospace Center, Einstein Foundation

Berlin, European Society of Anesthesiology, Federal Joint committee and Inner University

grants. Grants promoting Science and Education from WHOCC, Baxter Deutschland GmbH,

Cytosorbents Europe GmbH, Edwars Lifesciences Germany GmbH, Fresenius Medical Care,

Grünenthal GmbH, Masimo Europe Ltd. Phizer Pharma PFE GmbH. Personal fees from Georg

Thieme Verlag, Dr. F. Köhler Chemie GmbH, Sintetica GmbH, European commission,

Stifterverband für die deutsche Wissenschaft e.V. / Philips, Stiftung Charite, AGUETTANT

Deutschland GmbH, AbbVie Deutschland GmbH & Co. KG, Amomed Pharma GmbH, Touch

Health, Copra System GmbH, Correvio GmbH, Max-Planck-Gesellschaft zur Förderung der

Wissenschaft e.V., Deutsche Gesellschaft für Anästhesiologie & Intensivmedizin (DGAI),

Medtronic, Philips Electronics Nederland BV, BMG and BMBF.

Susanne Koch: was funded by the Deutsche Forschungsgemeinschaft (DFG, German Research

Society) – Project number KO 4249/3-1). S.K. is an inventor on patents, sold to Medtronic.

S.K. received speakers' fee from Medtronic, and personal fees from Georg Thieme Verlag.

2

This is an open-access article distributed under the terms of the Creative Commons

Attribution-Non Commercial-No Derivatives License 4.0 (CCBY-NC-ND), where it is

permissible to download and share the work provided it is properly cited. The work cannot be

changed in any way or used commercially without permission from the journal.



Abstract

Background: Postoperative delirium (POD) is a common complication in elderly patients undergoing anesthesia. Even though it is increasingly recognized as an important health issue, the early detection of patients at risk for POD remains a challenge. This study aims to identify predictors of POD by analyzing frontal electroencephalogram (EEG) at propofol induced loss of consciousness (LOC).

Methods: In this prospective, observational single-center study, we included patients over 70 years undergoing general anesthesia for a planned surgery. Frontal EEG was recorded on the day before surgery (baseline), and during anesthesia induction (1 minute, 2 minutes and 15 minutes after LOC). Postoperative patients were screened for POD twice daily for five days. Spectral analysis was performed using the multitaper method. The EEG Spectrum was decomposed in periodic and aperiodic (correlates to asynchronous spectrum wide activity) components. The aperiodic component is characterized by its offset (y-intercept) and exponent (the slope of the curve). Computed EEG parameters were compared between patients who developed POD and those who did not (noPOD). Significant EEG parameters were included in a binary logistic regression analysis to predict vulnerability for POD.

Results: Out of 151 patients, 50 (33%) developed POD. At 1 minute after LOC POD patients demonstrated decreased alpha [POD: 0.3 μ V² (0,21-0,71), noPOD: 0.55 μ V² (0.36-0.74), p=0.019] and beta band power [POD: 0.27 μ V² (0.12-0.38), noPOD:0.38 μ V² (0.25-0.48): p=0.003] and lower spectral edge frequency (SEF95) [POD: 10.45 Hz (5.65-15.04), noPOD: 14.56 Hz (9.51-16.65), p=0.01].

15 minutes after LOC, POD patients displayed a decreased aperiodic offset [POD: $0.42 \mu V^2$ (0.11-0.69), noPOD: $0.62 \mu V^2$ (0.37-0.79), p=0.004]. The logistic regression model predicting POD vulnerability demonstrated an area under the curve (AUC) of 0.738 (0.69-0.75).

Conclusion: The findings suggest that EEG markers obtained during LOC at anesthesia induction may serve as EEG-based biomarkers to early identify patients at risk to develop POD.

Introduction

Postoperative neurocognitive disorders represent a disease complex comprising postoperative delirium (POD), and postoperative neurocognitive dysfunction (1). Up to 50% of elderly patients develop POD after surgical interventions, associated with an elevated risk to develop long-term consequences (2). Due to the hypoactive motor-aspect postoperative delirium has long been underdiagnosed and overlooked (3, 4). However, these health issues are of growing importance in the current sociodemographic context, as they also are associated with an increased mortality, prolonged hospital stay and long-term cognitive decline (5-7).

The complex pathophysiological mechanism behind the postoperative delirium stands on two central pillars: the vulnerability of elderly patients and the toxicity associated with general anesthesia and the surgical procedure. With growing age, there is a continuous decline in physiological reserve leading to frailty, a state of reduced resolution of homeostasis following stress situations (8). The inflammatory, metabolic, endocrine and overall systemic stress associated with anesthesia and surgery overstrain the available homeostasis reserves in the brain, causing the emergence of a postoperative delirium (9).

Perioperative EEG recordings have been widely implemented to guide anesthesia and recognize patterns of increased risk for POD (10, 11). This led to the possibility of models predicting reliably emergence of postoperative delirium based on clinical characteristics and intraoperative EEG signatures (12). However, a crucial importance lies in the earliest possible detection of risk patients. Anesthesiologists can adapt medication and dosage as well as enhance postoperative awareness to reduce any additional risk factors for the emergence of postoperative delirium.

The aim of our study was to describe EEG spectral signatures and their dynamics at anesthesia induction and the transition to unconsciousness pointing towards an increased risk for postoperative delirium. Our goal was to find early indicators of vulnerability for postoperative

delirium based solely on EEG-markers and thus allowing anesthesiologists to adapt their perioperative management and avert the emergence of a postoperative delirium.

Materials & Methods

This post-hoc analysis of a prospective, observational, explorative single-center study was conducted at the Charité Universitätsmedizin Berlin, Campus Virchow (ePOD study, NCT03879850). The trial was approved by the local ethics committee (Charité Universitätsmedizin Berlin, EA 1/161/17). Written approval was obtained from all participants according to the Declaration of Helsinki. Ethical and scientific quality standards were respected following the ICH-GCP guidelines.

Between March 2019 and November 2022 348 patients over 70 years old undergoing general anesthesia for a surgical intervention at Charité Universitätsmedizin Berlin, Campus Virchow Klinikum were included in the study. Patients were eligible if the surgery was planned to last for a minimum of 60 minutes and general anesthesia with either volatile gases or propofol was administered. Exclusion criteria comprised known neurological/psychiatric diseases, long-term medication with centrally active drugs, insufficient knowledge of German language to ensure reliable detection of postoperative delirium as well as intraoperative administration of ketamine or nitrous oxide.

General anesthesia was conducted following the standard operating procedure of the clinic (13). In case of preoperative anxiety, patients were premedicated with midazolam. Anesthesia was induced with fentanyl or remifentanil and propofol. Anesthetic dosages were determined individually based on patient characteristics. A non-depolarizing neuromuscular blocker was given for endotracheal intubation. Patients were either ventilated through an endotracheal tube or a laryngeal mask. The anesthesiologists had access to neuromonitoring and were free to adjust anesthetic doses based on the EEG and the derived parameters.

EEG recording

Frontal EEG was recorded from four electrodes (Fp1, Fp2, F7, F8) with a SEDline Root monitor (Masimo Corporation, Irvine USA) at a sampling frequency of 178 Hz. The earth electrode was placed at Fpz and the reference electrode 1 cm above. Impedances were kept under 5 kOhm. A baseline EEG recording in awake state with eyes closed was recorded on the day before surgery. Additionally, the Mini-Mental-State-Examination (MMSE) was performed prior to surgery to screen for preexisting cognitive impairment. On the day of the surgery, perioperative EEG recordings were started before administration of anesthetic agents in awake state. Event markers were set at the following clinical time points: start of opiate injection, start of propofol injection, loss of consciousness (LOC), intubation, and surgical skin incision. During induction of anesthesia the study personal tested the lid closure reflex every five to ten seconds after loss of responsiveness. Loss of consciousness was defined as the suppression of lid closure reflex. *Postoperative delirium screening*

After arrival in the recovery room patients were screened for postoperative delirium every 15 minutes for 1 hour with the Nursing Delirium Screening Scale (Nu-DESC) if they reached a Richmond Agitation-Sedation Scale (RASS) score above -2. In the five days following surgery patients were visited twice a day (in the morning 8-10 a.m. and in the evening 5-7 p.m.) to screen for postoperative delirium with the Nu-DESC, the Diagnostic and Statistical Manual of Mental Disorder criteria (DSM V) and the Delirium Detection Score (DDS). If patients were required to stay on the intensive care unit (ICU), the Confusion Assessment Method for ICU (CAM-ICU) was used. Patients were classified as POD if any of the scores were positive at any time point during the postoperative care, including the recovery room. Discharge before the 5th postoperative day was not considered as lost to follow up as this implied a good neurocognitive and functional recovery. To minimize inter-investigator bias screening for postoperative delirium was completed with three standardized, reliable screening tools and added daily chart

review as well as twice daily questioning of responsible nurses regarding delirious symptoms.

The timeline of EEG acquisition and postoperative delirium screening is shown in figure 1.

EEG data and spectral analysis

EEG data and spectral analysis were performed in MATLAB (*MATLAB version:* 9.13.0 (*R2022b*), *Natick, Massachusetts: The MathWorks Inc.;* 2022.) with custom written scripts. EEG epochs were extracted with a duration of each 10 seconds from the perioperative recordings at the following time points: baseline (on the day prior to surgery), LOC_1 (1 minute after loss of consciousness), LOC_2 (2 minutes after loss of consciousness) and LOC_15 (15 minutes after loss of consciousness) (Figure 1).

EEG raw data preprocessing comprised bandpass filtering (0.1-40 Hz), trendline removal and single-patient raw data inspection for artifacts. All EEG segments were inspected visually regarding burst suppression patterns. Spectral analysis was performed with the multitaper method in the Chronux toolbox (version 2.12 v03, http://chronux.org/) (14) with a moving window length of 2 seconds, a window shift of 0.1 seconds, time-bandwidth product of 2 and 3 tapers. The spectrograms (Density Spectral Arrays (DSA)) and the power spectrum (PS) were computed for each EEG epoch.

EEG spectra can be decomposed into periodic and aperiodic components. The periodic activity corresponds to coordinated oscillations of cortical populations within frequency bands (\Box -band power 30.1-45Hz, \Box -band power 12.1-30Hz, \Box -band power 8-12Hz, \Box -band power 4-7.9Hz, \Box -band power 1.6-3.9Hz and sub- \Box -band power 0-1.5Hz), arising from common subcortical generators (15). The aperiodic activity translates in an underlying spectrum-wide slope and reflects the balance between excitatory and inhibitory synaptic current (16, 17). Aperiodic fitting was conducted for each time-segment using the Fitting Oscillations and One-Over-f (FOOOF) toolbox (version 1.0.0) (18) with the default parameters. To characterize the aperiodic activity, the offset (the y-intercept of the slope) and the exponent (the slope of the curve) were calculated. By deducting the aperiodic component from the power spectrum,

periodic power peaks were unveiled. We applied a similar method in a previous investigation with a detailed methodic explanation (19).

The following EEG parameters were computed: the spectral edge frequency (the frequency under which 95 percent of the power are located (SEF-95)), the mean power of the power peak in the alpha range (8-12 Hz), the alpha peak frequency (the frequency with the highest power within the alpha band), the alpha power difference between baseline and LOC_1/2/15, the mean power of the beta range before decomposition, the mean power of the power peak in the beta range (12-30 Hz), beta peak frequency (the frequency with the highest power within the beta band) and the beta ratio. The beta ratio calculation was derived from the method of Rampil: BetaRatio = log((P30-47Hz)/(P11-20Hz)) (20). We further calculated the aperiodic offset, the aperiodic exponent and specifically the aperiodic exponent in the gamma range (30-40 Hz).

Statistical Analysis

Statistical analysis was performed in MATLAB (*MATLAB version: 9.13.0 (R2022b), Natick, Massachusetts: The MathWorks Inc.; 2022*). Because of the explorative study design, we did not correct for multiple testing and accepted p-values < 0.05 as significant. Differences in population demographics were assessed with Chi-square-test and Mann-Whitney-U-Test for categorical and continuous variables, respectively. Results are reported as frequencies or median (25th-75th).

The computed EEG parameters were compared between POD and noPOD groups with the Mann-Whitney-U-Test. We aimed to perform a binary logistic regression predicting the emergence of postoperative delirium based on EEG parameters. To reduce the number of parameters in our model we accepted a ratio of down to 5 samples per predictor in this explorative analysis (21), which corresponded to 10 predictors in our case. The 10 parameters that were the most significant in the univariate analyses were selected. To test for multicollinearity, we calculated the Pearson correlation and the Variance Inflation Factor (VIF). Parameters were excluded when the r was > 0.7 and the VIF was ≥ 5 . After this analysis Mean

Alpha Power at 15 minutes after loss of consciousness and the Beta Ratio at 15 minutes after loss of consciousness were excluded. The remaining parameters were incorporated in a binary logistic regression predicting the emergence of postoperative delirium. We employed bootstrapped logistic regression to assess the stability and variability of the logistic regression model in MATLAB. Subsequently, the model was applied to 10,000 bootstrapped samples, and the area under the receiver operating characteristic curve was computed for each iteration. The mean receiver operating characteristic (ROC) curve was computed over the bootstrap replicates and plotted with the 95% confidence interval. A representation of the distribution of the area under the curve (AUC) values was also plotted with the mean and 95% confidence interval of the AUC.

We did not include clinical parameters, because our goal was to develop a model based solely on the EEG-parameters within the first 15 minutes of anesthesia. However, we also did not see a difference in relevant risk factors for postoperative delirium like age, ASA score and preoperative cognitive performance between POD and noPOD patients.

We interpreted the area under the curve of the receiver operating characteristic as following: outstanding: $1 \ge AUC \ge 0.9$; excellent: $0.9 > AUC \ge 0.8$; acceptable: $0.8 > AUC \ge 0.7$ (22).

To further validate our approach, we conducted sensitivity analyses. First, we also included parameters as sex and anesthesia maintenance in our model. In a second model we included precipitating risk factors as anesthesia duration or drug used for anesthesia maintenance. Finally, we computed four different models, each one including the EEG-parameters of one single time point. The results can be found in the supplementary materials (https://links.lww.com/ALN/D458).

Results

Out of 348 patients primarily included in the study, 48 patients dropped out, 46 patients had to be excluded due to sever artefacts or missing EEGs and 103 patients had to be excluded because the EEG was unexpectedly recorded at lower sampling rates (89Hz). This was related to a system update from the SEDline monitors, where different display settings affected the sampling rate (23). Patients' characteristics of excluded patients did not differ from the included patients (see supplementary material, https://links.lww.com/ALN/D458).

Out of the 151 patients included in this analysis 50 patients developed postoperative delirium (33%). The remaining 101 patients did not score positive in the POD tests at any postoperative time point (noPOD, 66%) (Figure S1, https://links.lww.com/ALN/D458). Patients' characteristics are shown in table 1. We did not see a difference in age, ASA score or preoperative MMSE score. Compared to noPOD patients, a higher proportion of POD patients received inhalational anesthesia [Inhalational anesthesia maintenance: POD 60% (n=30) vs noPOD 31.7% (n=32), p = 0.01]. Additionally, a higher proportion of men developed postoperative delirium compared to women [sex (men/women %) POD 43%/25% vs noPOD 57%/75%, p=0.018]. When further investigated, it became apparent that women received significantly more often propofol for anesthesia maintenance than men [female: n=65 (75.6%), male: n=21 (24.4%), p=<0.001], which might be explained by higher risk for postoperative nausea and vomiting (PONV) in female, leading to more total intravenous anesthesia (TIVA) and thereby reducing their risk of developing a postoperative delirium. As expected, overall anesthesia duration was prolonged in POD patients as compared to noPOD patients [Anesthesia duration (min) POD 270 (175-360) vs noPOD 219 min (139 – 303), p = 0.018].

EEG data analysis

Figure 2 shows as group-wise mean spectrograms of the baseline recording on the day before surgery, during anesthesia induction around loss of consciousness and at 15 minutes after induction. The computed EEG parameters are shown in Table 2. Figure 3A shows the PS before

decomposition for POD (red) and noPOD (blue) at the four previously defined time-segments, where 3B refers to the aperiodic and 3C to the periodic components of the EEG spectrum.

The most prominent difference between the groups laid in the periodic alpha/beta activity. At baseline we saw a reduced power in the mean beta band before decomposition in the POD group, which persisted in the following time points. We also observed a lower aperiodic exponent in the gamma range in POD patients, which persisted at loss of consciousness.

LOC_1 was characterized by a difference in SEF, mean alpha power, alpha peak, mean beta power and beta ratio. In general, the alpha power increase over LOC was significantly reduced in the POD group as compared to noPOD group.

At LOC_2, we saw a reduced beta ratio [POD: 2.64 (1.86-2.98), noPOD: 2.94 (2.43-3.47), p=0.002] and aperiodic offset in the POD group.

Towards LOC_15 POD patients showed a decrease in mean alpha power, alpha peak frequency, mean beta power, beta peak, beta ratio and the aperiodic offset.

During the first 15 minutes of anesthesia there was no statistically significant difference in the occurrence of burst suppression pattern between the POD and noPOD group.

During induction of anesthesia, we found in all patients an increase in periodic alpha/beta power and in the aperiodic exponent, corresponding to a steepening of the slope at LOC_1 (Figure B and C).

A detailed overview of the results is displayed in Table 2.

Modeling

After testing for significance and collinearity the following EEG parameters were included in a binary regression model: SEF95 at LOC 1, aperiodic offset at LOC 15, mean beta power at LOC 1-and 15, beta peak frequency at LOC 15, beta ratio at LOC 1 and 2 and the difference in alpha between LOC 15 and baseline. The calculated mean ROC curve demonstrated an acceptable mean AUC of 0.73 (0.69-0.75) (Figure 4, Figure S3,

https://links.lww.com/ALN/D458). The model characteristics are shown in Table S3 (https://links.lww.com/ALN/D458).

Discussion

Elderly patients at risk to develop postoperative delirium present specific EEG signatures in the periodic and aperiodic components at baseline as well as over the dynamic transition to unconsciousness during anesthesia induction. Including all these specific EEG parameters in a binary logistic regression model, patients at risk to develop postoperative delirium could be identified as early as within the first 15 minutes of anesthesia. This implies that already during induction of anesthesia, the EEG phenotype of a "vulnerable brain" (24) can be recognized and the following anesthetic procedure and postoperative surveillance and therapy can be adapted.

EEG signatures

In this analysis we demonstrate that with induction of anesthesia, POD patients develop a decreased alpha peak power and alpha peak frequency compared to noPOD patients of the same age. These findings are in line with previous research (11, 25, 26). During the anesthesia-induced transition to unconsciousness, elderly patients also show a reduced power in the alpha range (8-12 Hz) compared to young adults (19). We assume that a post-induction reduced alpha power might be a sign of a vulnerable brain, leading to a higher risk to develop postoperative delirium (24). Furthermore, we observed that POD patients exhibit a reduced post-induction beta arousal, associated with a lower spectral edge frequency within the first minute after LOC. Reduced preoperative beta and gamma power has been described as a marker pointing towards an elevated risk for postoperative delirium (11, 27). In our study we also saw a preoperative reduced beta power in POD patients, confirming the results of the previous studies. Given that there was no significant difference in age or cognitive function among our group of patients, beta mean power could serve as a useful early indicator for detecting cognitive decline.

We further demonstrated that the difference in the gamma power arises from a decrease in the aperiodic slope in the POD group, rather than from the coordinated periodic activity. The neural noise theory assumes that with aging due to a desynchronization of neuronal spiking the background neural noise activity increases (28). Hong and Rebec argue that because of reduced nerve conductivity, the aging brain compensates by increasing the neuronal firing rate. In the EEG this leads to a flattening of the aperiodic slope (29). In a computational modeling study, Gao et al. demonstrated that the balance between excitatory and inhibitory synaptic currents corresponds to the aperiodic slope specifically in the gamma frequency range (30-70 Hz) (17). Hence, we might assume the decreased exponent in the gamma range might be also a sign of a more vulnerable brain.

The transition to anesthesia-induced unconsciousness follows a chronological succession of EEG signatures (30). In a previous study, we showed that the aperiodic exponent over loss of consciousness age-independently increases after induction of anesthesia in geriatric as well as in young patients (19). Here we again observed an increase of the aperiodic exponent after loss of consciousness, which notably did not differ between POD and noPOD patients. This implies that an increase of the aperiodic exponent could serve as an EEG based marker of loss of consciousness independently of age or the neurocognitive condition.

At two minutes after loss of consciousness POD patients notably showed a lower aperiodic offset, transcribing as a broadband shift, which was still present at 15 minutes after loss of consciousness. Changes in the aperiodic offset are positively correlated with neuronal population spiking (31, 32) and a corresponding blood oxygenation level dependent (BOLD) response in the fMRI (33). This phenomenon has not yet been described in POD patients and the neurophysiological background of this finding needs to be further examined.

During induction of anesthesia, elderly patients tend to experience anesthesia overdose (34). The EEG marker of excessive depth of anesthesia – burst suppression - is related to the emergence of postoperative delirium in elderly (35). However, in our study group POD patients received lower dosage of anesthetics and we found no increased occurrence of burst suppression pattern after anesthesia induction in our POD group. This finding underlies the relevance of preexisting brain vulnerability as risk factor for the emergence of postoperative delirium, independently of other risk factors that can occur during anesthesia.

Identification of vulnerable patients

Our statistical model can identify vulnerable patients regarding the development of postoperative delirium as early as during anesthesia induction. While various precipitating risk factors associated with postoperative delirium manifest later during the surgical course, such as anesthesia depth and burst-suppression duration, the choice of the anesthetic agent given, the overall duration of the surgery, or intraoperative blood loss (35-38), patients developing postoperative delirium could be identified based on EEG derived parameters within only 15 minutes of anesthesia. These findings highlight the relevance of predisposing risk factors in the development of postoperative delirium, underscore the importance of neuromonitoring and the necessity to develop an EEG-based risk assessment tool.

In our study cohort anesthesia maintenance with volatile gases was a risk factor for the emergence of postoperative delirium. This is in line with a previous retrospective study data analysis done by our group (37), and was also shown in a meta-analysis (38). Hence, prospective studies should examine whether patients presenting the described EEG signatures after loss of consciousness would profit from a total intravenous anesthesia with propofol for anesthesia maintenance.

To improve prevention of postoperative delirium it would be ideal to develop an algorithm that automatically recognizes EEG patterns associated with postoperative delirium. If implemented in EEG neuromonitors, it could alert anesthesiologists of the risk, giving them the possibility

to reduce further risk factors, possibly adjust anesthesia guidance and intensify postoperative surveillance. However, this model would need to be validated prospectively with an independent patient cohort and then might be implemented in commonly used neuromonitors, if technically feasible. The lack of validation and possible technical limitations in the clinical routine should be called out as limitations of our model. It is important to note that we performed the prediction of postoperative delirium in a hypothesis-generating fashion in this post-hoc analysis of our data (39). Our goal was to explore whether vulnerable patients could be identified after induction of anesthesia, at a timepoint when emergence of postoperative delirium possibly can still be averted.

Limitations

One limitation of the study is the uneven distribution of sex in POD and noPOD patients, despite sex not being a known risk factor of postoperative delirium. As this was an observational study, the treatment of study patients was not influenced, and anesthesiologist chose the medication and dosage according to their clinical evaluation. Because of the higher PONV risk in female, they received more often propofol as an anesthetic agent. In our analysis volatile anesthesia maintenance was a risk factor for developing postoperative delirium, hence we attributed the difference in the sex distribution rather to the administration of the anesthetics.

Unfortunately, after a software update the sampling frequency of the recorded data stored in the SEDline monitor was affected by the display setting (23). In our clinic, neuromonitoring is part of the routine protocol in general anesthesia for elderly patients. As anesthesiologists have learned to rely on the perioperative EEG and the derived indices, they adapted the settings to their usual practices. This led to modified raw traces. Furthermore, the built-in low pass filter at 45 Hz was shifted to around 28 Hz in the recordings with a sampling frequency of 89 Hz instead of 178 Hz (Figure S2, https://links.lww.com/ALN/D458). After recognizing the issue and resetting the monitor settings to default, the sampling rate reverted to 178 Hz. Since we also wanted to investigate the beta and gamma frequency band and those frequencies were not

assessable in the EEGs with the lower sampling rate, we decided to exclude 103 EEGs with a sampling rate of 89 Hz.

Because of the technical issues we faced, half of the patients initially included had to be excluded. Even though we did not see broad differences in patients' characteristics between included and excluded patients, except in the use of drug agent for anesthesia maintenance. Included patients received significantly more often a total intravenous anesthesia. This could lead to selections bias.

Additionally, no adjustments were made for multiple comparisons due to the hypothesisgenerating nature of this analysis.

Conclusions

This study provides evidence that vulnerable patients regarding postoperative delirium may be recognized based on predisposing EEG biomarkers assessed preoperatively and during the transition to unconsciousness. If confirmed, our findings could be implemented in EEG neuromonitors to enable early detection and adapted perioperative management.

Details of authors' contributions

SK, ENB and CS designed the clinical trial. SK, MP and SL coordinated the study. SK, MP, SL and VR discussed the results and conducted the data analysis. SK, MP and SL wrote the first draft of the manuscript. All authors contributed to the analysis and approved the final manuscript.

Acknowledgements

The authors would like to thank all participants and collaborators involved in this trial.

Further we would like to thank Julia Jansche, Marc Dorenbeck, Christin Irrgang und Elisa Schneller for the good cooperation in the patient recruitment and data processing.

Supplemental Digital Content

Supplemental material: Biomarkers of postoperative delirium vulnerability, https://links.lww.com/ALN/D458

References

- 1. Migirov A, Chahar P, Maheshwari K. Postoperative delirium and neurocognitive disorders. Curr Opin Crit Care. 2021;27(6):686-93.
- 2. Inouye SK, Westendorp RG, Saczynski JS. Delirium in elderly people. Lancet. 2014;383(9920):911-22.
- 3. Numan T, van den Boogaard M, Kamper AM, Rood PJT, Peelen LM, Slooter AJC. Recognition of Delirium in Postoperative Elderly Patients: A Multicenter Study. J Am Geriatr Soc. 2017;65(9):1932-8.
- 4. Goldberg TE, Chen C, Wang Y, Jung E, Swanson A, Ing C, Garcia PS, Whittington RA, Moitra V. Association of Delirium With Long-term Cognitive Decline: A Meta-analysis. JAMA Neurol. 2020;77(11):1373-81.
- Statistisches Bundesamt (Destatis) WBfSW, Bundesinstitut für
 Bevölkerungsforschung (BiB). Datenreport 2021: Ein Sozialbericht für die Bundesrepublik
 Deutschland. Bundeszentrale für politische Bildung/bpb; 2021.
- 6. Saczynski JS, Marcantonio ER, Quach L, Fong TG, Gross A, Inouye SK, Jones RN. Cognitive trajectories after postoperative delirium. N Engl J Med. 2012;367(1):30-9.
- 7. Witlox J, Eurelings LS, de Jonghe JF, Kalisvaart KJ, Eikelenboom P, van Gool WA. Delirium in elderly patients and the risk of postdischarge mortality, institutionalization, and dementia: a meta-analysis. Jama. 2010;304(4):443-51.
- 8. Clegg A, Young J, Iliffe S, Rikkert MO, Rockwood K. Frailty in elderly people. Lancet. 2013;381(9868):752-62.
- 9. Cascella M, Muzio MR, Bimonte S, Cuomo A, Jakobsson JG. Postoperative delirium and postoperative cognitive dysfunction: updates in pathophysiology, potential translational approaches to clinical practice and further research perspectives. Minerva Anestesiol. 2018;84(2):246-60.

- 10. Radtke FM, Franck M, Lendner J, Krüger S, Wernecke KD, Spies CD. Monitoring depth of anaesthesia in a randomized trial decreases the rate of postoperative delirium but not postoperative cognitive dysfunction. Br J Anaesth. 2013;110 Suppl 1:i98-105.
- 11. Koch S, Windmann V, Chakravarty S, Kruppa J, Yürek F, Brown EN, Winterer G, Spies C. Perioperative Electroencephalogram Spectral Dynamics Related to Postoperative Delirium in Older Patients. Anesth Analg. 2021;133(6):1598-607.
- 12. Röhr V, Blankertz B, Radtke FM, Spies C, Koch S. Machine-learning model predicting postoperative delirium in older patients using intraoperative frontal electroencephalographic signatures. Front Aging Neurosci. 2022;14:911088.
- 13. Spies WKaC. Check-up Anästhesiologie, Standards Anästhesie-Intensivmedizin-Schmerztherapie-Notfallmedizin. second ed, ed. K. WJ. Berlin ed: Heidelberg: Springer Medizin Verlag 2005.
- 14. Partha Mitra HB. Observed Brain Dynamics: Oxford University Press; 2009.
- 15. Pascual-Marqui RD, Sekihara K, Brandeis D, Michel CM. Imaging the electric neuronal generators of EEG/MEG. In: Michel CM, Brandeis D, Wackermann J, Gianotti LRR, Koenig T, editors. Electrical Neuroimaging. Cambridge: Cambridge University Press; 2009. p. 49-78.
- 16. Miller KJ, Sorensen LB, Ojemann JG, den Nijs M. Power-law scaling in the brain surface electric potential. PLoS Comput Biol. 2009;5(12):e1000609.
- 17. Gao R, Peterson EJ, Voytek B. Inferring synaptic excitation/inhibition balance from field potentials. Neuroimage. 2017;158:70-8.
- 18. Donoghue T, Haller M, Peterson EJ, Varma P, Sebastian P, Gao R, Noto T, Lara AH, Wallis JD, Knight RT, Shestyuk A, Voytek B. Parameterizing neural power spectra into periodic and aperiodic components. Nature Neuroscience. 2020;23(12):1655-65.
- 19. Leroy S, Major S, Bublitz V, Dreier JP, Koch S. Unveiling age-independent spectral markers of propofol-induced loss of consciousness by decomposing the

- electroencephalographic spectrum into its periodic and aperiodic components. Front Aging Neurosci. 2022;14:1076393.
- 20. Rampil Ira J. A Primer for EEG Signal Processing in Anesthesia Anesthesiology. 1998;89(4):980-1002.
- 21. Vittinghoff E, McCulloch CE. Relaxing the Rule of Ten Events per Variable in Logistic and Cox Regression. American Journal of Epidemiology. 2006;165(6):710-8.
- 22. Hosmer DW, Lemeshow S, Cook ED. Applied logistic regression 2nd edition. New York: Jhon Wiley and Sons Inc. 2000.
- 23. von Dincklage F, Jurth C, Schneider G, P SG, Kreuzer M. Technical considerations when using the EEG export of the SEDLine Root device. J Clin Monit Comput. 2021;35(5):1047-54.
- 24. Shao YR, Kahali P, Houle TT, Deng H, Colvin C, Dickerson BC, Brown EN, Purdon PL. Low Frontal Alpha Power Is Associated With the Propensity for Burst Suppression: An Electroencephalogram Phenotype for a "Vulnerable Brain". Anesth Analg. 2020;131(5):1529-39.
- 25. Gutierrez R, Egaña JI, Saez I, Reyes F, Briceño C, Venegas M, Lavado I, Penna A. Intraoperative Low Alpha Power in the Electroencephalogram Is Associated With Postoperative Subsyndromal Delirium. Front Syst Neurosci. 2019;13:56.
- 26. Cartailler J, Touchard C, Parutto P, Gayat E, Paquet C, Vallée F. Brain fragility among middle-aged and elderly patients from electroencephalogram during induction of anaesthesia. Eur J Anaesthesiol. 2021;38(12):1304-6.
- 27. Schüßler J, Ostertag J, Georgii M-T, Fleischmann A, Schneider G, Pilge S, Kreuzer M. Preoperative characterization of baseline EEG recordings for risk stratification of post-anesthesia care unit delirium. Journal of Clinical Anesthesia. 2023;86:111058.
- 28. Voytek B, Knight RT. Dynamic network communication as a unifying neural basis for cognition, development, aging, and disease. (1873-2402 (Electronic)).

- 29. Hong SL, Rebec GV. A new perspective on behavioral inconsistency and neural noise in aging: compensatory speeding of neural communication. (1663-4365 (Electronic)).
- 30. Purdon PL, Pierce ET, Mukamel EA, Prerau MJ, Walsh JL, Wong KFK, Salazar-Gomez AF, Harrell PG, Sampson AL, Cimenser A, Ching S, Kopell NJ, Tavares-Stoeckel C, Habeeb K, Merhar R, Brown EN. Electroencephalogram signatures of loss and recovery of consciousness from propofol. Proceedings of the National Academy of Sciences. 2013;110(12):E1142-E51.
- 31. Miller KJ, Hermes D, Honey CJ, Hebb AO, Ramsey NF, Knight RT, Ojemann JG, Fetz EE. Human motor cortical activity is selectively phase-entrained on underlying rhythms. PLoS Comput Biol. 2012;8(9):e1002655.
- 32. Manning JR, Jacobs J, Fried I, Kahana MJ. Broadband shifts in local field potential power spectra are correlated with single-neuron spiking in humans. J Neurosci. 2009;29(43):13613-20.
- 33. Winawer J, Kay KN, Foster BL, Rauschecker AM, Parvizi J, Wandell BA. Asynchronous broadband signals are the principal source of the BOLD response in human visual cortex. Curr Biol. 2013;23(13):1145-53.
- 34. Phillips AT, Deiner S, Mo Lin H, Andreopoulos E, Silverstein J, Levin MA. Propofol Use in the Elderly Population: Prevalence of Overdose and Association With 30-Day Mortality. Clinical Therapeutics. 2015;37(12):2676-85.
- 35. Soehle M, Dittmann A, Ellerkmann RK, Baumgarten G, Putensen C, Guenther U. Intraoperative burst suppression is associated with postoperative delirium following cardiac surgery: a prospective, observational study. BMC Anesthesiol. 2015;15:61.
- 36. Marcantonio ER, Goldman L, Orav EJ, Cook EF, Lee TH. The association of intraoperative factors with the development of postoperative delirium. Am J Med. 1998;105(5):380-4.

- 37. Koch S, Blankertz B, Windmann V, Spies C, Radtke FM, Röhr V. Desflurane is risk factor for postoperative delirium in older patients' independent from intraoperative burst suppression duration. Frontiers in Aging Neuroscience. 2023.
- 38. Yang Y, Feng L, Ji C, Lu K, Chen Y, Chen B. Inhalational Versus Propofol-based Intravenous Maintenance of Anesthesia for Emergence Delirium in Adults: A Meta-analysis and Trial Sequential Analysis. (1537-1921 (Electronic)).
- 39. Hollenbeck JR, Wright PM. Harking, Sharking, and Tharking: Making the Case for Post Hoc Analysis of Scientific Data. Journal of Management. 2016;43(1):5-18.

Figure Legends

Figure 1: Timeline of EEG segments (yellow) and clinical markers of anesthesia (orange). Baseline EEG was recorded on the day before surgery. LOC occurred on average 2.5 minutes after the application of anesthesia.

Figure 2: Group averaged spectrograms for POD and noPOD group.

Left column: Baseline: awake, eyes closed.

Middle column: 2 minutes before until 2 minutes after LOC (Time 0)

Right column: 15 minutes after LOC.

LOC was defined as the suppression of the lid closure reflex. EEG analysis was performed on 10 second intervals at baseline, 1 minute after LOC (LOC 1), 2 minutes after LOC (LOC 2) and 15 minutes after LOC (LOC 15).

Figure 3: Decomposition of the power spectrum (PS) in periodic and aperiodic components for POD (red) and noPOD (blue) group at four timepoints: baseline, 1 minute, 2 minutes and 15 minutes after LOC.

Top row (A): raw PS; Middle row (B): aperiodic component of the PS; Lower row (C): periodic component of the PS. Shaded areas correspond to the interquartile range [25th-75th], vertical dashed lines mark frequency bands.

Figure 4: Mean receiver operating characteristics (ROC) curve of the fitted binary logistic model with an area under the curve (AUC) of 0.73 for 10,000 bootstrap replicates. The shaded blue area corresponds to the confidence interval.

TABELS: Biomarkers of postoperative delirium vulnerability

	POD (n=50)	noPOD (n=101)	All (n=151)	p-Value			
Age, years	77 [72-80]	77 [73-81]	77 [72-81]	0.763			
Sex				0.018			
Male	29 (58%)	38 (37.6%)	67 (44.4%)				
Female	21 (42%)	63 (62.4%)	84 (55.6%)				
ASA status				0.197			
1/2/3/4	0/22/25/3	3/46/51/1	3/68/76/4				
(%)	(0/44/50/6)	(3/45.5/50.5/1)	(2/45/50.4/2.6)				
BMI (kg/m ²)	25.31	24.92	24.94	0.301			
, <u> </u>	(24.03-28.13)	(22.41-27.94)	(22.62-28.13)				
MMSE	(n=40)	(n=78)	(n=118)	0.189			
	27.5 (24-29)	28 (27-29)	28 (26.75-29)				
Duration anesthesia (min)	270 (175-360)	219 (139-303)	233 (147-328)	0.018			
Premedication with	3 (6%)	3 (3%)	6 (4%)	0.37			
midazolam	,	,					
Induction anesthesia							
Propofol	49 (98)	99 (98)	148 (98)	0.199			
Dose (mg)	150 (100-195)	145 (100-150)	150 (100-170)				
Thiopental	1	1	2				
Maintenance anesthesia				0.01			
TIVA	19 (38)	67 (66.3)	86 (57)				
Volatile anesthetics	30 (60)	32 (31.7)	62 (41.2)				
Dosage							
Propofol (mg/kg/h)	5.5 (5-6)	6 (5.5-6)	6 (5.45-6)	0.1			
Sevoflurane (et vol %)	1.55(1,4-1,8)	1.7 (1.5-2)	1.7 (1.5-2)	0.106			
Desflurane (et vol %)	4.65 (4,15-5)	4.85 (4.6-5.05)	4.85 (4.35-5)	0.486			
EEGs with burst			, ,				
suppressions	15 (30%)	24 (23.8%)	39 (25.8)	0.413			
n (%)		,	, ,				
Time (minutes)							
Propofol to LOC	2.63 (1.97-	2.57 (2.03-3.3)	2.63 (2.03-3.3)	0.991			
LOC to Intubation	3.33)	2.67 (1.1-3.6)	2.47 (1.02-3.62)	0.855			
	2.37 (0.97-	,	, ,				
	3.67)						
Table 1: Baseline patients' characteristics. Categorical data was calculated using Chi-							

Quadrat-test and results, for continuous data Mann-Whitney-U-Test was used. Results are reported as frequencies or median [25th-75th percentile]. P-values <0.05 are presented bold. ASA: American society of Anesthesiologist, BMI: body mass index, et: end-tidal, LOC: loss of consciousness, MMSE: Mini-mental state examination, noPOD: no postoperative delirium, POD: postoperative delirium, TIVA: total intravenous anesthesia.

	Tri .	n.c.	F 0 F	
	Timepoi	POD	noPOD	p-
anno a	nt	22.07.40.27	2.7.6 (10.22	value
SEF95	Baseline	22.85 (18.37-	25.76 (19.22-	0.187
(Hz)	LOC_1	29.07)	30.83)	0.010
	LOC_2	10.45 (5.65-15.04)	14.56 (9.51-16.65)	0.330
	LOC_15	11.62 (7.66-17.9)	14.93 (9.43-17.85)	0.241
		15.24 (12.96-18)	16.04 (14.17- 17.81)	
Mean alpha power after	Baseline	0.24 (0.12-0.4)	0.22 (0.07-0.34)	0.292
decomposition (μV^2)	LOC 1	0.3 (0.21-0.71)	0.55 (0.36-0.74)	0.272
(p)	LOC_1 LOC_2	0.46 (0.28-0.76)	0.55 (0.39-0.85)	0.015
	LOC_2 LOC_15	0.54 (0.29-0.92)	0.74 (0.5-0.92)	0.002
Alpha peak frequency	Baseline	8.7 (8-9.83)	8.7 (8-9.74)	0.724
(Hz)	LOC 1	9.92 (8.96-11.13)	10.44 (9.74-11.48)	0.038
(IIZ)	LOC_1 LOC_2	10.09 (9.04-11.48)	10.44 (9.39-11.48)	0.036
	LOC_2 LOC_15	10.09 (8.35-11.13)	10.44 (9.74-11.48)	0.233
	LOC_13	10.07 (6.33-11.13)	10.44 (7.74-11.46)	0.037
Alpha power difference		0.29 (0.07-0.51)	0.47 (0.23-0.76)	0.002
(LOC_15-Baseline)				
(μV^2)				
Mean beta power before	Baseline	0.06 (0.04-0.1)	0.08 (0.05-0.13)	0.014
decomposition (μV^2)	LOC_1	0.05 (0.03-0.08)	0.09 (0.05-0.15)	< 0.00
	LOC_2	0.05 (0.02-0.1)	0.9 (0.06-0.15)	1
	LOC_15	0.03 (0.02-0.07)	0.6 (0.4-0.1)	0.001
				0.001
Mean beta power after	Baseline	0.12 (0.06-0.16)	0.13 (0.09-0.21)	0.100
decomposition (μV^2)	LOC_1	0.27 (0.12-0.38)	0.38 (0.25-0.48)	0.003
	LOC_2	0.27 (0.17-0.43)	0.38 (0.22-0.5)	0.017
	LOC_15	0.31 (0.18-0.44)	0.41 (0.3-0.53)	0.002
Beta peak frequency	Baseline	20.87 (17.22-	20.18 (15.83-	0.397
after decomposition	LOC_1	27.75)	25.57)	0.250
(Hz)	LOC_2	16.7 (13.14-22.62)	16.01 (12.53-	0.359
	LOC_15	16.01 (12.53-	20.18)	0.001
		20.53)	14.27 (12.53-	
		16.18 (12.79-	18.62)	
		18.88)	13.22 (12.18-	
			16.18)	
Beta ratio	Baseline	1.1 (0.52-1.54)	0.93(0.27-1.5)	0.463
	LOC_1	2.4 (1.79-2.97)	2.81 (2.33-3.22)	0.006
	LOC_2	2.64 (1.86-2.98)	2.94 (2.43-3.47)	0.002
	LOC_15	2.44 (1.89-2.99)	2.98 (2.65-3.39)	< 0.00
Ψ				1
Aperiodic offset	Baseline	0.16 (-0.9-0.39)	0.17 (-0.03-0.43)	0.771
(μV^2)	LOC_1	0.85 (0.45-1.35)	0.91 (0.61-1.19)	0.326
	LOC_2	0.71 (0.37-1.15)	0.89 (0.61-1.32)	0.028
	LOC_15	0.42 (0.11-0.69)	0.62 (0.37-0.79)	0.004
Aperiodic exponent	Baseline	1.15 (0.97-1.32)	1.1 (0.89-1.31)	0.290
$(\mu V^2/Hz)$	LOC_1	1.84 (1.73-2.08)	1.83 (1.66-2)	0.531
	LOC_2	1.82 (1.64-1.98)	1.78 (1.64-2.12)	0.515
	LOC_15	1.67 (1.51-1.8)	1.74 (1.59-184)	0.193
			·	

Aperiodic exponent	Baseline	1.64 (0.61-2.96)	2.32 (1.38- 3.38)	0.044
gamma range	LOC_1	2.17 (0.66-4.21)	3.03 (2.09-4.79)	0.025
$(\mu V2/Hz)$	LOC_2	3.45 (1.94-4.71)	3.39 (2.33-4.55)	0.802
	LOC 15	3.05 (1.62-5.21)	3.53 (2.32-5.06)	0.220

Table 2: Perioperative EEG parameters comparing results between POD and noPOD group.

Parameters were compared using Mann-Whitney-U-Test for each time point, results are reported as median (25th-75th percentile). P-values < 0.05 are represented bold. The beta ratio was calculated as log((P30-47Hz)/(P11-20Hz)). noPOD: no postoperative delirium, POD: postoperative delirium, SEF95: spectral edge frequency under which 95% of the power lies.

Figure 1

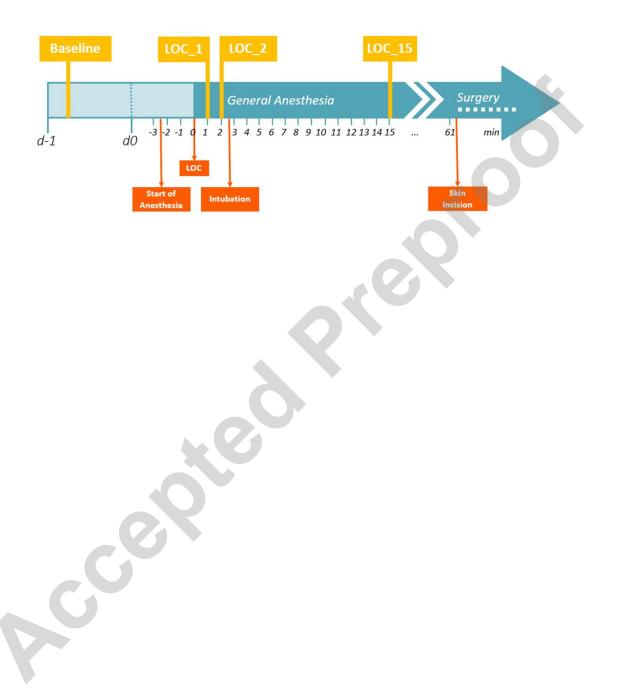


Figure 2

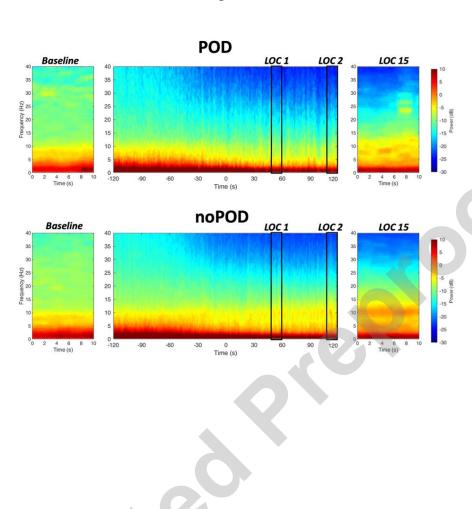


Figure 3

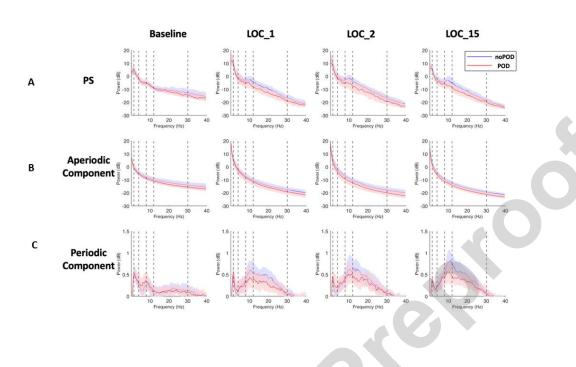


Figure 4

