

General Anesthesia Causes Epigenetic Histone Modulation of c-Fos and Brain-derived Neurotrophic Factor, Target Genes Important for Neuronal Development in the Immature Rat Hippocampus

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ABSTRACT

Background: Early postnatal exposure to general anesthesia (GA) may be detrimental to brain development, resulting in long-term cognitive impairments. Older literature suggests that *in utero* exposure of rodents to GA causes cognitive impairments in the first-generation as well as in the second-generation offspring never exposed to GA. Thus, the authors hypothesize that transient exposure to GA during critical stages of synaptogenesis causes epigenetic changes in chromatin with deleterious effects on transcription of target genes crucial for proper synapse formation and cognitive development. They focus on the effects of GA on histone acetyltransferase activity of cAMP-responsive element-binding protein and the histone-3 acetylation status in the promoters of the target genes brain-derived neurotrophic factor and cellular Finkel-Biskis-Jenkins murine sarcoma virus osteosarcoma oncogene (*c-Fos*) known to regulate the development of neuronal morphology and function.

Methods: Seven-day-old rat pups were exposed to a sedative dose of midazolam followed by combined nitrous oxide and isoflurane anesthesia for 6h. Hippocampal neurons and organotypic hippocampal slices were cultured *in vitro* and exposed to GA for 24h.

Results: GA caused epigenetic modulations manifested as histone-3 hypoacetylation (decrease of 25 to 30%, $n = 7$ to 9) and fragmentation of cAMP-responsive element-binding protein (two-fold increase, $n = 6$) with 25% decrease in its histone acetyltransferase activity, which resulted in down-regulated transcription of brain-derived neurotrophic factor (0.2- to 0.4-fold, $n = 7$ to 8) and cellular Finkel-Biskis-Jenkins murine sarcoma virus osteosarcoma oncogene (about 0.2-fold, $n = 10$ to 12). Reversal of histone hypoacetylation with sodium butyrate blocked GA-induced morphological and functional impairments of neuronal development and synaptic communication.

Conclusion: Long-term impairments of neuronal development and synaptic communication could be caused by GA-induced epigenetic phenomena. (ANESTHESIOLOGY 2016; 124:1311-27)

THE exposure of very young children to general anesthesia (GA) is becoming a common occurrence. Although this practice traditionally was considered innocuous, rapidly emerging animal¹⁻⁴ and human⁵⁻⁷ findings suggest that GA could be detrimental to synaptogenesis, resulting in long-term cognitive impairments. Older literature suggested similar long-lasting effects; *in utero* exposure of rodents to GA caused cognitive impairments not only in the first-generation offspring, but also in the second-generation offspring never exposed to GA but born to dams exposed to GA *in utero*.⁸ This result suggests that a transient exposure to GA during a critical period of neuronal remodeling causes changes that become embedded in the genetic information, resulting in the impairment of proper and timely neuronal development.

What We Already Know about This Topic

- Exposure of rodents to general anesthesia *in utero* causes cognitive impairments not only in the first-generation offspring, but also in the second-generation offspring born to dams exposed to general anesthesia *in utero*

What This Article Tells Us That Is New

- Exposure to general anesthesia during critical stages of synaptogenesis modulated expression and function of the key transcription factors, cAMP-responsive element-binding protein (CREB) and CREB-binding protein
- CREB-binding protein and CREB modulation may, in turn, cause epigenetic changes manifested as histone hypoacetylation, leading to down-regulated transcription of the target genes cellular Finkel-Biskis-Jenkins murine sarcoma virus osteosarcoma oncogene and brain-derived neurotrophic factor, which play an important role in neuronal development

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Epigenetic mechanisms translate environmental influences into changes in the expression of target genes having significant roles in brain development. For example, administration of ethanol, the oldest anesthetic known to mankind, during critical stages of brain development causes significant chromatin remodeling^{9,10} in the promoters of several target genes—brain-derived neurotrophic factor (*BDNF*) and cellular Finkel-Biskis-Jenkins murine sarcoma virus osteosarcoma oncogene (*c-Fos*) in particular—responsible for long-term cognitive impairments.^{10,11} Epigenetic changes are critical for long-term memory storage; inhibition of histone deacetylase (HDAC), which removes acetyl groups from lysines on histone tails, increases histone acetylation, which in turn increases the expression of *c-Fos* and *BDNF* genes, thus enhancing new memory formation.^{12,13}

Of particular interest in this study is the finding that modulation of the cAMP-responsive element-binding (CREB) protein, a transcription factor that regulates the expression of several genes required for acquisition and storage of new memories, causes cognitive impairments.^{14,15} In fact, the human disease Rubinstein-Taybi syndrome, which is clinically manifested as significant mental retardation,¹⁶ was found to be caused by dysfunctional and down-regulated CREB-binding protein (CBP).¹⁷ CBP also plays an important role as a histone acetyltransferase (HAT), which acetylates specific lysine residues in histones, thereby generating epigenetic changes that disrupt repressive chromatin structure. Collectively, these findings suggest that drugs or diseases that promote epigenetic changes could induce long-term molecular signals leading to the impairment of neuronal development.

Here we show that exposure to GA during critical stages of synaptogenesis modulates the expression and function of the key transcription factors CBP and CREB. We suggest that the CBP and CREB modulation, in turn, causes epigenetic changes manifested as histone hypoacetylation leading to down-regulated transcription of the target genes *c-Fos* and *BDNF*, which play an important role in neuronal development.^{11,18} We used our routine *in vivo* anesthesia protocol that causes impairment of synaptogenesis¹⁹ and cognitive deficits¹ in which postnatal day (P) 7 rats are exposed to a sedative dose of midazolam (Sigma-Aldrich, USA) (9 mg/kg, ip) followed by 6 h of combined nitrous oxide (70%) and isoflurane (0.75%).

Materials and Methods

Animals

We used 7-day-old (P7) Sprague-Dawley rat pups (Harlan Laboratories, USA) for all experiments since this age is when rat pups are most vulnerable to anesthesia-induced neuronal damage.² Our routine anesthesia protocol was as follows: experimental rat pups were exposed to 6 h of anesthesia, and controls were exposed to 6 h of mock anesthesia (vehicle + air). After the administration of anesthesia, rats were reunited with their mothers until euthanize—within

the first 2 h or at 24 h postanesthesia (at P8). They were divided randomly into three groups: one group for assessing the expression of several proteins using the Western blotting technique, the second group for gene expression studies using real-time (RT) polymerase chain reaction (PCR) and chromatin immunoprecipitation (ChIP) assays, and the third group for functional studies of HAT and HDAC activities using an enzyme-linked immunosorbent assay (ELISA). Our randomization process was designed to provide each group with roughly equal representation of pups from each dam.

The experiments were approved by the Animal Use and Care Committee of the University of Virginia Health System, Charlottesville, Virginia, and were done in accordance with the Public Health Service's Policy on Humane Care and Use of Laboratory Animals. Efforts were made to minimize the number of animals used.

Anesthesia Administration

Considering that the length of GA exposure in the *in vitro* system could not be reliably (and directly) correlated with the length of GA exposure in the *in vivo* system, we chose two distinct time points reported in previous studies to result in robust anesthesia-induced developmental neurotoxicity—6-h exposure in animals¹ and 24-h exposure in hippocampal cultures.^{20,21} We reasoned that if epigenetic changes are to be considered relevant to previously reported impairments in neuronal and glial development and survival, we should detect robust epigenetic changes caused by the same respective durations of exposure. The flow diagram of the timing of anesthesia administration, as well as the tissue collection and processing, is shown in figure 1.

To achieve GA, we used our routine anesthesia combination, whereby P7 rat pups received a single injection of midazolam (9 mg/kg, ip) followed by 6 h of nitrous oxide (70%), isoflurane (0.75%), and oxygen (approximately 30%). For control experiments, air was substituted for the gas mixture. The measured fraction of inspired oxygen in both control and experimental conditions was 0.29 to 0.30. Nitrous oxide and oxygen were delivered using a calibrated flowmeter. Isoflurane was administered using an agent-specific vaporizer that delivers a set percentage of anesthetic into the anesthesia chamber. Midazolam was dissolved in 0.1% dimethyl sulfoxide just before administration. For control animals, 0.1% dimethyl sulfoxide was used alone. To administer a specific concentration of nitrous oxide/oxygen and isoflurane in a highly controlled environment, an anesthesia chamber was used. Rats were kept normothermic and normoxic while glucose homeostasis was maintained within normal limits throughout the experiment.^{2,3} After initial equilibration of the nitrous oxide/oxygen/isoflurane or air atmosphere inside the chamber, the composition of the chamber gas was analyzed with an infrared analyzer (Datex Ohmeda, USA) to establish the concentrations of nitrous oxide, isoflurane, carbon dioxide, and oxygen.

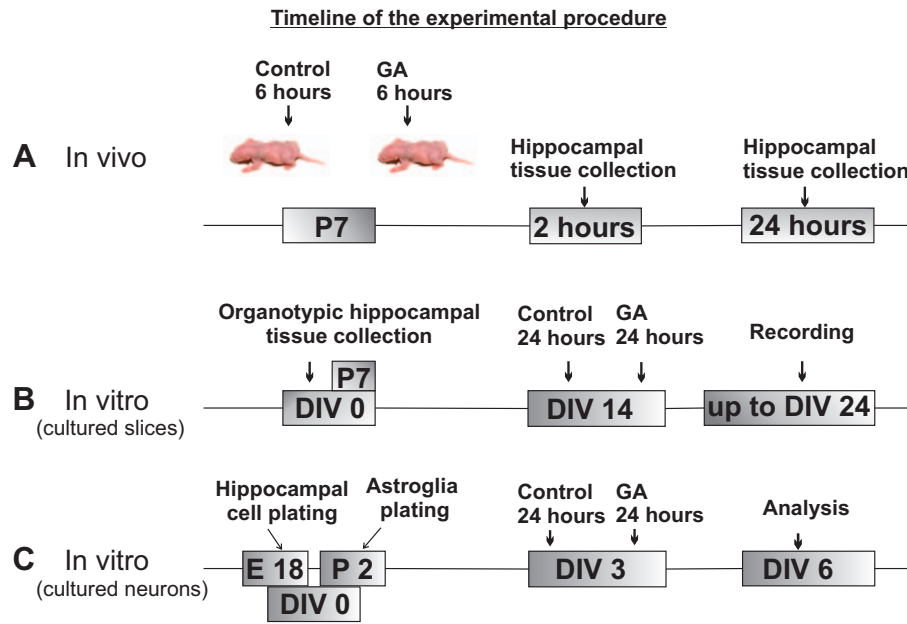


Fig. 1. Timeline of the experimental procedures for *in vivo* (A) and *in vitro* (B, C) general anesthesia (GA) exposures and tissue collection. DIV = day 1 *in vitro*; E 18 = E18 rat fetuses; P = postnatal day.

Western Blot Studies

For protein quantification, we dissected the hippocampus proper (which included hippocampus and subiculum) immediately after the brains were removed from the individual pups using a dissecting microscope ($\times 10$ magnification). Tissue was collected on ice and was snap-frozen immediately in liquid nitrogen. The protein concentration of the lysates was determined with the total protein kit using the Bradford method (Cayman Chemical, USA). Ten to 25 μg of total protein was heat-denatured and subjected to sodium dodecyl sulfate–polyacrylamide gel electrophoresis through 4 to 20% Tris-Glycine polyacrylamide gradient gels (Bio-Rad, USA). Separated proteins were transferred to polyvinylidene difluoride membrane (Millipore, USA), blocked at room temperature for 1 h in 3% bovine serum albumin followed by incubation at 4°C overnight with primary antibodies: rabbit polyclonal anti-CBP (1:750, A-22: sc-369; Santa Cruz, USA), rabbit polyclonal anti-phospho-CREB (1:2,500, Ser133: 06-519; Millipore), rabbit polyclonal anti-CREB (1:1,000, AB3006; Millipore), rabbit polyclonal anti-c-Fos (1:1,000, K-25: sc-253; Santa Cruz), rabbit polyclonal anti-acetyl-histone-3 (1:3,000, 06-599; Millipore), mouse monoclonal anti-lamin A/C (1:500, clone 14:05-714; Millipore), anti-histone-3 (1:3,500; Millipore), rabbit polyclonal anti-BDNF (1:1,000, N20-SC-546; Santa Cruz Biotechnology), or anti-BDNF (1:1,000, ANT-010; Alomone Labs, Israel), with anti- β -actin (1:10,000; Sigma-Aldrich) or anti-glyceraldehyde phosphate dehydrogenase (1:16,500; Millipore) antibodies as loading controls.

Membranes were incubated for 1 h at room temperature with horseradish peroxidase–conjugated secondary antibodies—goat anti-rabbit or goat anti-mouse IgG

(1:10,000; Santa Cruz). Immunoreactivity was detected using enhanced chemiluminescence substrate (SuperSignal West Femto; Thermo Scientific, USA). Images were captured using GBOX (Chemi XR5; Syngene, USA), and gels were analyzed densitometrically using the computerized image analysis program ImageQuant 5.0 (GE Healthcare; Life Sciences, USA).

Enzymatic Activity of HATs and HDACs

HAT and HDAC activities were measured using commercially available HAT/HDAC Activity Assay ELISA kits (Epigentek, USA) with a colorimetric detection method according to manufacturer's protocol. Briefly, 10 μg of hippocampus proper nuclear extract was used, and the absorbance was measured at 450 nm using a microplate reader (Molecular Devices, USA). All experiments were performed in triplicate. The enzyme activities were calculated using a standard curve and were expressed as activity per hour per milligram of protein ($\text{A} \cdot \text{h}^{-1} \cdot \text{mg}^{-1}$). To measure HAT activity of CBP, we first isolated CBP using an immunoprecipitation kit (Thermo Scientific, USA), then used a colorimetric ELISA Assay Kit (Epigentek), which measures the ratio between acetylated and unacetylated histones. This ratio is directly proportional to the CBP's HAT activity. Absorbance at 450 nm was determined using a spectrophotometer.

Hippocampal Cell Culture and Cultured Slice Preparations

Hippocampal cells were co-cultured with astroglia using a “sandwich” method. Primary cultures of astrocytes were prepared from 1- to 2-day-old Sprague–Dawley rat pups.²² Briefly, we freed brain tissue from meninges and dissected the cerebellum, olfactory bulb, and brainstem under a laminar

flow hood. Remaining tissue was chopped finely in a drop of calcium- and magnesium-free Hanks balanced salt solution (CMF-HBSS; Gibco BRL), then tissue pieces were transferred to a 50-ml conical centrifuge tube (Corning, USA) in a final volume of 15 ml CMF-HBSS with 2.5% trypsin (Gibco BRL; Life Technologies, Inc., USA) and 1% DNase (Sigma, USA) and incubated in a 37°C water bath for 5 min, swirling the tube occasionally. After centrifugation at 120g for 5 min to remove enzymes and lysed cells, the pellet was resuspended in Glia medium (Minimum Essential Medium) with Earle salts containing 10% horse serum (Gibco BRL), 6% glucose, and penicillin–streptomycin (Gibco BRL). The plated density was approximately 7.5×10^6 cells per 75-cm² flask (Corning). Cultures were grown in an incubator in a constant humidified atmosphere of 5% carbon dioxide and 95% air at 37°C. Medium was changed the day after plating and every third day thereafter. When the cells were near confluence (usually within 7 to 10 days), astroglia were harvested and passaged into 60-mm dishes at approximately 10^5 cells/dish. The day of plating was designated as day 1 *in vitro* 0. When cells reached 40 to 70% confluence, they were ready to be co-cultured with neurons. Glia medium was removed 1 day before co-culturing and replaced with neuronal maintenance medium containing Neurobasal Medium (Gibco BRL), GlutaMAX-I supplement, and B27 serum-free supplement for preconditioning.

To prepare hippocampal primary cultures, we used E18 rat fetuses. Their brains were dissected and placed in a dish containing CMF-HBSS. The neurons were dissociated using 2.5% trypsin. Cell density was determined using a hemocytometer, and 1.5×10^5 hippocampal cells were plated per 60-mm dish using poly-L-lysine-coated coverslips (Sigma) in neuronal maintenance medium for a low-density culture. After 3 to 4 h, the dishes were examined to ensure that most of the cells had attached, and then coverslips were inverted so that the paraffin feet are resting on the bottom of the dish. The ratio of astrocyte:neurons was about 2:3 (1×10^5 : 1.5×10^5 per 60-mm culture dish) and did not vary substantially from one culture dish to another. We allowed the glia and neuronal co-culture to grow for 3 days. Neurons that are dead or dying do not remain attached to the bottom of the dish; they float and are readily removed with each change of a medium. This ensures that dead or dying neurons are eliminated before a given treatment is initiated. The screening before any given experiment was done by an experienced experimenter to ensure similar neuronal densities between the dishes without disturbing neuronal viability. The dishes were then randomized before being assigned to any given experimental condition to avoid selection bias.

For *in vitro* treatments of the co-cultures, we followed the following protocol: 1 h before anesthesia exposure, the hippocampal glia “sandwich” co-cultures were treated with 5 mM sodium butyrate (NaB; Tokyo Chemical Industry Co., Japan). Hippocampal neurons and glia co-cultures were then exposed to anesthesia for 24 h at day 3 *in vitro* in a chamber

at 37°C with a humidified atmosphere using 25 µg/ml midazolam, 0.75% isoflurane, 70% nitrous oxide, and about 30% oxygen. Sham control dishes were kept in a chamber at 37°C in a humidified atmosphere containing air. The chamber design enabled the gas composition to which culture dishes were exposed to be analyzed continuously using an infrared analyzer (Datex Ultima, United Kingdom). After 24 h, the dishes were taken out of the anesthesia chamber, medium was changed immediately, and dishes were placed in an incubator. We allowed the cells to grow for up to 3 days after anesthesia for confocal imaging (Zeiss, Germany). Since the dead or dying neurons do not remain attached and thus are removed with each medium change, only live neurons were stained, counted, and analyzed for branching, as described in the Histological Assessment section.

Organotypic hippocampal cultured slices were prepared from postnatal 6- to 7-day-old male and female Sprague–Dawley rats, per our previous studies.^{23,24} All procedures for animal surgery and maintenance were performed following protocols approved by the Animal Care and Use Committee of the University of Virginia and in accordance with US National Institutes of Health guidelines. The hippocampi were dissected out in ice-cold Hepes-buffered Hanks solution (pH 7.35) under sterile conditions, sectioned into 400 µm slices with a tissue chopper, and explanted onto a Millicell-CM membrane (0.4-µm pore size; Millipore). The membranes were then placed in 750 µl of minimum essential medium culture medium containing (in mM): Hepes, 30; glutamine, 1.4; D-glucose, 16.25; NaHCO₃, 5; CaCl₂, 1; MgSO₄, 2; plus 20% heat-inactivated horse serum, 1 mg/ml insulin, and 0.012% ascorbic acid at pH 7.28, with a final osmolarity 320 mM.

Histological Assessment

Coverslip cultures were taken from three different dishes of neuronal co-culture sandwich preps obtained from a total of 15 pups. Neurons were fixed with cold (4°C) paraformaldehyde at 4% in 0.1 M phosphate buffer (pH 7.4). After fixation, permeabilization was done with 0.2% Triton X-100 containing 5% donkey serum for 1 h at room temperature on a slow shaker. To stain neurons, we incubated cultures overnight at room temperature with Map2 primary antibody (cat #M9942; Sigma) diluted at 1:2,000 in blocking solution followed by secondary antibody Alexa 488 (1:2,000; Invitrogen). To label glial cells, the cultures were rinsed and incubated with glial fibrillary acidic protein (1:800; Sigma) followed by secondary antibody Alexa 594 (1:2,000; Invitrogen, USA). The coverslips were mounted using the fluorescence-mounting medium Vectashield plus DAPI (Vector Labs, USA). The microglia contamination determined when using “sandwich” co-cultures is approximately 4%.²²

Immunostained coverslip cultures were examined with a confocal microscope (Zeiss). Coverslips were taken from three different dishes of neuronal co-culture sandwich preps obtained from a total of 15 pups. Five pictures were taken

from areas of each coverslip using the same pattern (in the center and at 12, 3, 6, and 9 o'clock in a clockwise fashion). We counted the number of neurons in each scene and expressed it per square micrometers. Neurons were identified based on their morphology as revealed by the Map2 staining, and analysis of dendritic branching was made by counting the number of primary branches on each neuron using Image-Pro Plus software (Media Cybernetics, USA). The histological analyses were done in blinded fashion.

RNA Isolation, cDNA Synthesis, and Real-time Reverse Transcription-PCR

Total RNA was extracted from hippocampal tissue with TRIzol (Life Technologies, USA) according to the manufacturer's instructions. Total RNA (2 µg) was converted to first-strand cDNA using the RT2 First Strand Kit (Qiagen, USA). The resulting cDNA was subjected to PCR analysis in a final volume of 25 l containing RT2 SYBR Green qPCR Mastermix and BDNF (exon IV) primer.¹² Reverse transcription-PCR amplifications were performed in an iQ5 real-time PCR system (Bio-Rad) at 50°C for 30 min, 95°C for 15 min, followed by 40 cycles of 94°C for 60 s, 57°C for 60 s, 72°C for 60 s, and then 70°C for 10 min. To amplify the *c-Fos* gene, conditions were as follows: 95°C for 10 min, followed by 40 cycles of 95°C for 15 s and 60°C for 60 s with primers.²⁵ Quantitative PCR primers for Tubulin[®] (forward: AGCAACATGAATGACCTGGTG, reverse: GCTTTCCTACCTGCTTGG) or Actin[®] (PPR06570C; Qiagen) were included in every analysis as endogenous controls to check for total messenger RNA (mRNA) amount and differences in interassay amplification efficiency. Each sample was run in triplicate, and the mean values of each cycle threshold (CT) were used for further calculations. Quantification was performed by the $2^{-\Delta CT}$ method,²⁶ and the changes in mRNA levels of *BDNF* exon IV (forward: TGCGAGTATTACCTCGCCAT, reverse: TCACGTGCTCAAAAGTGTCAG) and *c-Fos* (forward: GGAATTAACCTGGTGC TGGA, reverse: TGAACATGGACGCTGAAGAG) were expressed relative to the control values.

Chromatin Immunoprecipitation

ChIP assays were performed using the ChIP Kit (catalog #17-610, #17-245; Millipore) according to the supplier's recommendations with slight modifications. Rat hippocampal tissue was removed using a dissecting microscope, minced with a razorblade to approximately 1-mm sized pieces, and suspended in ice-cold phosphate-buffered saline containing protease inhibitors. Tissue was cross-linked with 1% formaldehyde (Sigma) for 10 min at room temperature, and the reaction was quenched with 0.125 M glycine for 5 min at room temperature. Cross-linked tissues were rinsed twice with cold phosphate-buffered saline collected and placed in cell lysis buffer supplemented with protease inhibitors for 15 min at 4°C, and homogenized twice for 10 s on ice. Pelleted nuclei were resuspended in nuclear lysis buffer containing protease

inhibitors. Chromatin was then sonicated using a Branson Sonifier 250 (Branson Ultrasonics Co., Ltd., China) using a small probe at 50% output for 15 s (1 s on/1 s off) for 10 cycles each in an ice bath. Fragmented chromatin was analyzed by electrophoresis through a 1.5% agarose gel to confirm efficient sonication (generation of approximately 500, 200–base pair fragments). Collected chromatin was diluted 10-fold in ChIP dilution buffer containing protease inhibitor cocktail, and 1% of the material was reserved for “input” control. Immunoprecipitation was performed at 4°C overnight by rotation with magnetic protein A beads and anti-H3ac (06-599; Upstate) or normal rabbit IgG as a control (PP-64b; Upstate). The magnetic beads were pelleted and washed two times with a low-salt wash buffer, then a high-salt wash buffer, then lithium chloride immune complex wash buffer, and then with Tris-EDTA buffer.

DNA was eluted using the ChIP elution buffer, then crosslinks were reversed with proteinase K treatment for 2 h at 65°C in an Eppendorf ThermoMixer (Eppendorf, Germany) for 10 min at 95°C. For the final step, samples were eluted using spin columns in 30 µl of Tris-EDTA elution buffer.

Acetylated histone-3 in *c-Fos* and *BDNF-IV* promoters was assessed by measuring the gene expression levels in immunoprecipitated DNA using RT-PCR. Triplicates of 1 µl purified DNA and 1% input DNA (diluted 10-fold) were analyzed with iTaq Universal SYBR Green SuperMix using ChIP-quantitative PCR analysis in the CFX96 Connect Real-Time PCR System (Bio-Rad). The following primer pairs were used: for *c-Fos*, forward: AAA ACT GGA GTT TAT TTT GGC, and reverse: CAC AGA CAT CTC CTC TGG; *BDNF-IV*, forward: CTC CGC CAT GCA ATT TCC AC, and reverse: GCC TTC ATG CAA CCG AAG TA. Promoter occupancy was plotted as fold enrichment ($2^{-\Delta CT}$) over input after subtracting the background signal from the IgG control. ChIP-PCR also was controlled using the glyceraldehyde phosphate dehydrogenase promoter.

Electrophysiological Studies

Modulation of hippocampal synaptic transmission was assessed with electrophysiological patch-clamp recordings by focusing on synaptic γ -aminobutyric acid receptor type A (GABA_A)-mediated currents. We used hippocampal slices from P7 rat pups and cultured for up to 2 weeks after the procedure described by McCormack *et al.*²⁷ Slices were exposed to our routine GA protocol (25 µg/ml midazolam, 70% nitrous oxide, and 0.75% isoflurane for 24 h). GABA-dependent miniature inhibitory postsynaptic currents (mIPSCs) were recorded in pyramidal neurons of the CA1 hippocampal region up to 10 days posttreatment in the presence of 1 µM tetrodotoxin (to block action potentials) and 5 µM 2,3-dihydroxy-6-nitro-7-sulfamoyl-benzo[f]quinoxaline-2,3-dione and 50 µM d-2R)-amino-5-phosphonovaleric acid (to block α -amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid and NMDA (*N*-methyl-D-aspartate currents, respectively).

Statistical Analysis

Comparisons among groups were made using unpaired two-tailed *t* test, with the exception of electrophysiological studies that were analyzed using one-way ANOVA followed by Tukey *post hoc* test. Using the standard version of GraphPad Prism 5.01 software (Media Cybernetics, Inc, USA), we considered *P* less than 0.05 to be statistically significant. All data are presented as means + SD. No experimental data were missing or lost to statistical analysis. The sample sizes reported throughout the Results and in the figure legends represent biological replicates and were based on previous experience.^{1,4,19} In response to concerns raised by reviewers, the sample size was increased for several experiments, but no attempts were made to adjust for interim analyses.

Results

To begin to decipher GA-induced epigenetic changes during synaptogenesis, we first examined whether early exposure to our routine anesthesia protocol modulates the protein expression and function of two transcription factors, CREB and CBP, both known to be exquisitely sensitive to changes in neuronal activity and best understood as transcription factors linking epigenetic with classic gene regulatory mechanisms.^{14,15,17}

We found that there is a significant decrease in total CREB protein expression (fig. 2) at 2 h (fig. 2A, *P* = 0.048, *n* = 6 per data point) and no change at 24-h post-GA (fig. 2B, *P* = 0.72, *n* = 7). The levels of activated (phosphorylated) CREB protein in hippocampal complex were not changed at the 2-h time point (fig. 2C, *n* = 10, *P* = 0.884) but were increased significantly at the 24-h time point (fig. 2D, ***P* = 0.002, *n* = 10). Consequently, the ratio between phosphorylated and total CREB was increased in GA-treated animals compared with their respective controls at 2- and 24-h time points (fig. 2E, **P* = 0.0246 at 24 h), suggesting that GA promotes CREB activation.

The expression of CBP mRNA in the hippocampal complex was not changed (fig. 3) at either 2 h (fig. 3A) or 24 h (fig. 3B) post-GA compared with controls; however, we found that the expression of full-length CBP protein (270 kDa) was not changed at 2 h post-GA (fig. 3C) but was significantly reduced at 24 h (about 35%) (fig. 3D) as compared with controls (fig. 3A, *n* = 6, *P* = 0.58; fig. 3B, *n* = 6, *P* = 0.59; fig. 3C, *n* = 12, *P* = 0.97; and fig. 3D, *n* = 17; ****P* = 0.0001).

These findings suggest that GA exposure may cause post-translational down-regulation in full-length (270 kDa) CBP protein expression, possibly due to CBP fragmentation. The increased production of 148-kDa fragments was of particular interest since it commonly occurs as a result of apoptotic neuronal damage. To address the possibility that GA, which is known to induce apoptotic neurodegeneration, could be causing CBP fragmentation, we quantified the expression of 148-kDa fragments (fig. 4) 24 h post-GA and found a significant (**P* = 0.021) increase (more than 200%) in GA-treated

animals compared with controls (fig. 4A, *n* = 6). Since undue fragmentation can lead to impaired HAT function of CBP, we assessed its activity at 24 h post-GA and found it to be significantly decreased (by about 25%; **P* = 0.026) in animals treated with GA (fig. 4B, *n* = 3). Since there was a significant increase (more than 20%, *n* = 4, **P* = 0.029) in the expression of fragmented lamin (41 to 50 kDa; fig. 4C), a chromatin organization component, and well-known substrate of activated caspase-6, it seems likely that CBP fragmentation is caused, at least in part, by GA-induced caspase-6 activation.

Because CBP is an important HAT enzyme regulating the degree of histone acetylation, and because its protein expression and HAT activity was down-regulated significantly by GA, we hypothesized that this effect may result in histone hypoacetylation. We probed the effect of GA on histone-3 (H3) acetylation, with a special focus on lysine residue 14, the most common target of CBP acetylation²⁸ and the one implicated in learning.^{29,30} The level of acetylated H3 was reduced significantly (about 25 to 30%, fig. 5) in the hippocampal complex at 2 h (fig. 5A, *n* = 7 controls, *n* = 8 GA treated, **P* = 0.042) and at 24 h (fig. 5B, *n* = 9 per group, ***P* = 0.005) after exposure of rats to GA as compared with controls. Since the activity of the HDAC families of enzymes, known to regulate histone deacetylation, was not changed after GA exposure (data not shown; *n* = 5), the observed decrease in histone acetylation may be due mostly to a decrease in CBP protein and its HAT activity.

To determine whether the GA impairment of H3 acetylation might be mediated, in part, *via* effects on HDAC, we administered the global HDAC inhibitor NaB at 1.2 g/kg, ip,³¹ 60 min before tissue collection and measured the level of acetylated H3 at 2 h and 24 h post-GA (fig. 6). The GA-induced decrease in acetylation of H3 at 2 h (about 50%; *****P* = 0.0001, *n* = 6) (fig. 6A) was not only completely reversed with NaB, but there was a significant increase in acetylation of H3 as compared with that in sham controls (about 24%; **P* = 0.019, *n* = 6). As expected, NaB alone caused increased acetylation of H3 as compared with that in sham controls (about 30%; *****P* = 0.0001, *n* = 6). At 24 h, the GA-induced decrease in acetylated H3 (about 25%; ****P* = 0.001, fig. 6B) was not only reversed with NaB but there was a significant increase in acetylation of H3 compared with that in sham controls (about 33%; ***P* = 0.004, *n* = 6). Again, NaB in the absence of GA increased acetylation of H3 as compared with that in sham controls (about 43%; ***P* = 0.009, *n* = 6).

Although GA caused global H3 hypoacetylation, the hypoacetylated status of H3 in CREB-binding sites of the promoter regions of *c-Fos* and *BDNF* target genes remained to be examined. Hence, we performed individual loci-specific ChIP assays and quantified the amount of DNA associated with hypoacetylated H3 on *c-Fos* and on exon IV of the *BDNF* gene (fig. 7). GA significantly decreased the expression of acetylated H3 at *c-Fos* (fig. 7A, *n* = 8, ****P* = 0.001), at the *BDNF* promoter (fig. 7B, *n* = 6, **P* = 0.043), and at the CREB transcription start sites, thus confirming that H3

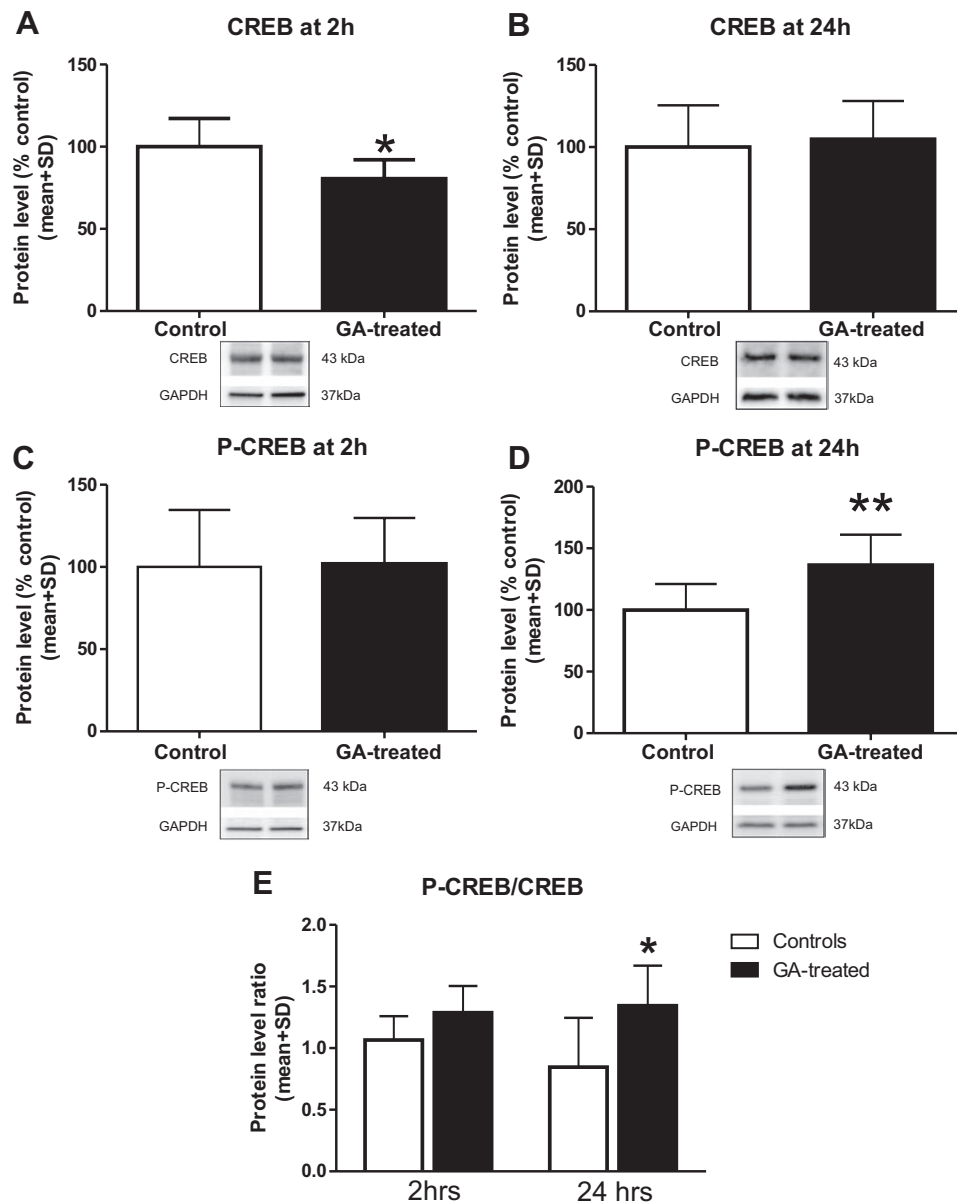


Fig. 2. Anesthesia increases phosphorylated cAMP-responsive element-binding (CREB) in the immature hippocampus after 24h. The expression of CREB total and phosphorylated protein fractions was estimated by Western blotting in fresh hippocampal tissue obtained from P7 rat pups soon at 2h or 24h (at P8) postanesthesia or sham treatment. The protein levels were expressed as percentage change from sham controls after normalization to glyceraldehyde phosphate dehydrogenase (GAPDH). (A) Anesthesia caused a significant decrease in total CREB protein expression at 2h (* $P = 0.044$, $n = 6$). (B) Anesthesia caused no change in total CREB expression at 24h ($P = 0.72$, $n = 7$). (C) Anesthesia caused no change in the level of activated (phosphorylated) CREB protein in developing hippocampus at 2h ($P = 0.884$, $n = 10$). (D) Anesthesia significantly increased the level of activated (phosphorylated) CREB protein in developing hippocampus at 24h (** $P = 0.002$, $n = 10$). (E) Calculated ratio between phosphorylated and total CREB was significantly increased in anesthesia-treated animals compared with their respective controls at 24h (* $P = 0.025$). GA = general anesthesia; P = postnatal day.

hypoacetylation is not only global but also specific for our target genes *c-Fos* and *BDNF*.

Since H3 hypoacetylation results in more condensed chromatin structure, we reasoned that GA exposure would decrease the transcription of *c-Fos* and *BDNF* genes.

To evaluate this notion, we measured their mRNA expressions (fig. 8). As shown in figure 8A, GA caused

significant decrease (about 30%) in *c-Fos* mRNA at 2h post-GA (fig. 8A, *** $P = 0.0001$, $n = 10$) and 24h later (about 20%, fig. 8B, * $P = 0.025$, $n = 12$). Similarly, the expression of *BDNF* mRNA was decreased significantly at 2h (about 40%, fig. 8C, * $P = 0.028$, $n = 7$ to 8) and at 24h post-GA (about 20%, fig. 8D, *** $P = 0.001$, $n = 8$) compared with that in sham controls.

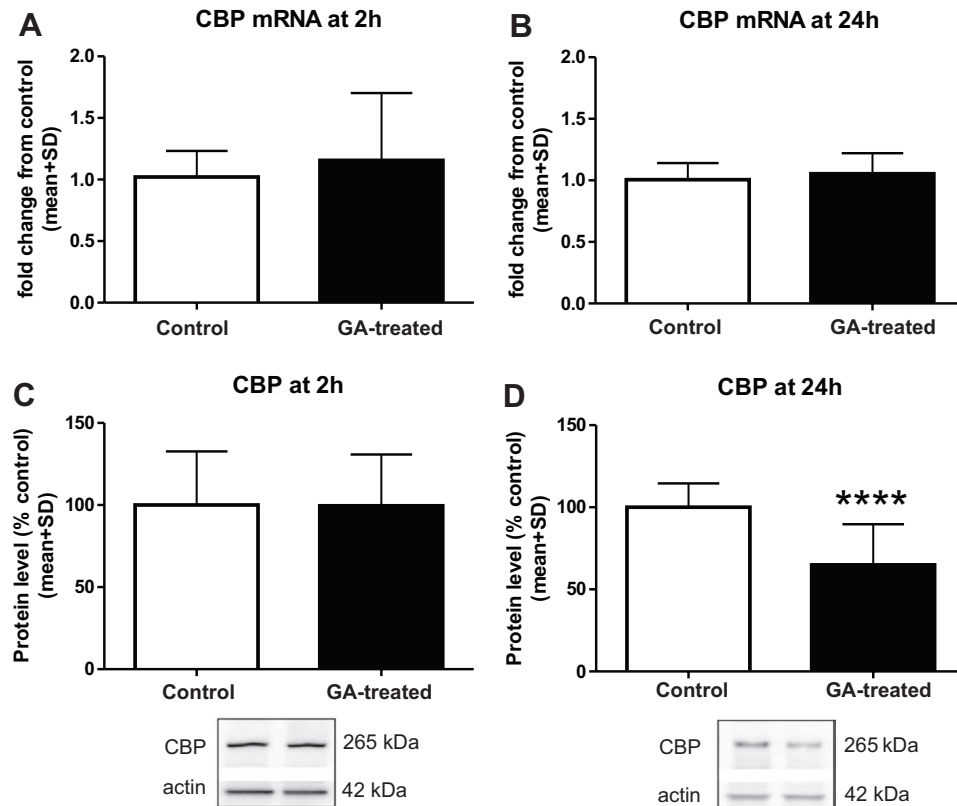


Fig. 3. Anesthesia decreases expression of full-length cAMP-responsive element-binding protein (CBP) in the immature hippocampus. Real-time polymerase chain reaction shows that anesthesia does not affect expression of CBP messenger RNA (mRNA) in developing hippocampus at 2 h (A; $P = 0.584$, $n = 6$) or 24 h (B; $P = 0.591$, $n = 6$) as compared with age-matched controls. Western blot analysis shows that anesthesia does not affect the expression of full-length CBP protein (270 kDa) at 2 h (C; $P = 0.972$, $n = 12$) but that it causes significant (**** $P = 0.0001$) reduction at 24 h (D; $n = 17$) as compared with age-matched controls.

To further assess the outcomes of GA-induced H3 hypoacetylation of the target genes *c-Fos* and *BDNF*, we determined whether the expression of the respective proteins was decreased as well (fig. 9). We found that the expression of c-Fos protein measured at 2 h (fig. 9A, * $P = 0.02$, $n = 6$) and at 24 h (fig. 9B, * $P = 0.015$, $n = 7$) post-GA was decreased significantly (approximately 35% and 40% decrease, respectively, as compared with controls). Similarly, levels of BDNF protein were down-regulated significantly both at 2 h (fig. 9C, about 25%; *** $P = 0.0002$, $n = 14$) and at 24 h (fig. 9D, ** $P = 0.0016$, $n = 14$) as compared with that in sham controls.

Since c-Fos and BDNF play important roles in morphological development and synaptic communication of immature neurons and since GA appears to cause epigenetic modulations of these genes, we probed two functional links between these effects. To investigate the relationship between modulation of histone acetylation and morphological changes in developing neurons, we focused on dendritic arborization, a hallmark of synaptogenesis and neuronal circuitry formation (fig. 10). For ease of studying neuronal morphology and dendritic arborization, we used our *in vitro* system of hippocampal neurons (see Materials and Methods). We found that at 3 days post-treatment, when compared to controls (fig. 10A; see the insert of a well-developed arboretum typical of control neurons),

hippocampal cells exposed to a similar GA cocktail as in our *in vivo* studies (25 μ g/ml midazolam, 70% nitrous oxide, and 0.75% isoflurane for 24 h) exhibit significant impairment in arborization (fig. 10B). This impairment is manifested as decreased numbers of primary branches, thus giving neurons a bipolar instead of a stellar appearance. The HDAC global inhibitor NaB (at 5 mM) had no effect alone (fig. 10C), but when coadministered with GA resulted in a complete abolishment of the GA-induced impairment in dendritic arborization (fig. 10D). Quantification revealed that GA induced a significant decrease in neuronal density compared with controls (** $P = 0.0028$, $n = 15$ scenes per data point, fig. 10E), an effect that was abolished completely with NaB (GA alone *vs.* GA + NaB, *** $P = 0.0002$, $n = 15$ neurons per data point). The quantification suggests lesser complexity of dendritic branching in GA-treated neurons (* $P = 0.041$, $n = 13$ to 15 neurons per data point), an impairment that was completely reversed with NaB (fig. 10F, GA alone *vs.* GA + NaB, *** $P = 0.0005$, $n = 15$ neurons per data point; green fluorescence/MAP-2 to label neurons; red fluorescence/DAPI staining for nuclei; magnification $\times 20$). These data suggest that GA impairs neuronal survival and morphological development and that this impairment is influenced by the state of histone acetylation. It is noteworthy, though, that the dendritic branching of cultured neurons

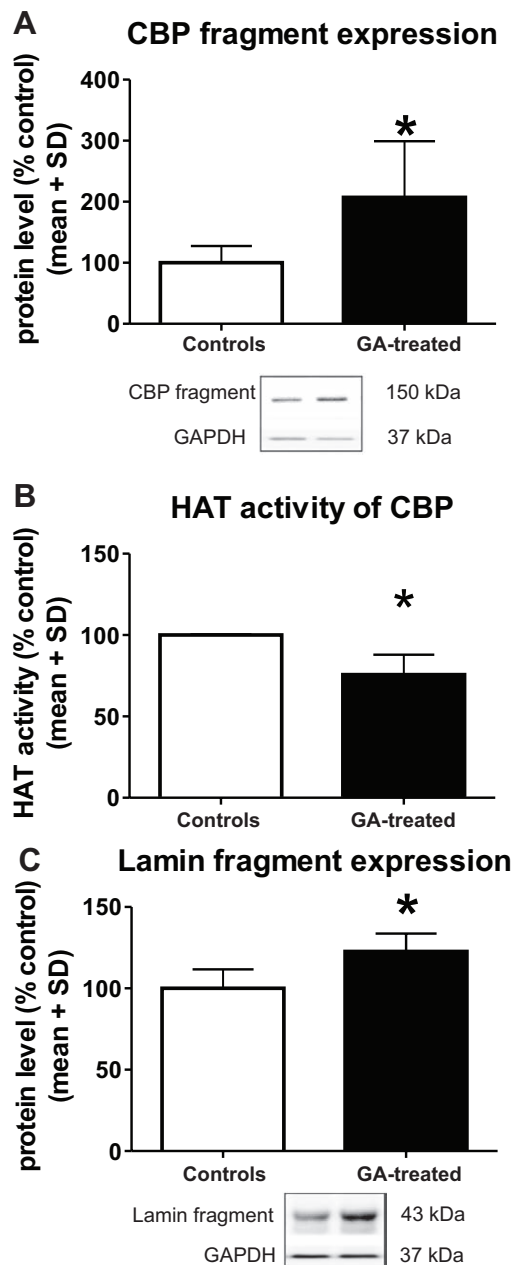


Fig. 4. Anesthesia causes significant posttranslational changes in cAMP-responsive element-binding protein (CBP) in the immature hippocampus. (A) Anesthesia induces significant increase in the 148-kDa CBP in the developing hippocampus at 24 h as compared with age-matched controls ($n = 6$, $*P = 0.021$) suggestive of enhanced fragmentation. (B) Anesthesia causes significant decrease in CBP histone acetyltransferase (HAT) activity at 24 h ($*P = 0.026$) in developing hippocampus as compared with age-matched controls ($n = 3$). (C) Anesthesia causes significant increase in the expression of fragmented lamin (41 to 50 kDa), a chromatin organization component and well-known substrate of activated caspase-6 ($n = 4$, $*P = 0.029$). GA = general anesthesia; GAPDH = glyceraldehyde phosphate dehydrogenase.

depends not only on epigenetic influences but on intrinsic regulators as well—most notably spatial microenvironmental cues and neuronal interactions—which are controlled by neuronal

density. Hence, our findings regarding GA-induced epigenetic effects on branching morphogenesis should be considered in view of GA-induced decrease in neuronal density.

To determine whether the well-known GA-induced impairment of neuronal synaptic transmission could be restored if the histone acetylation status were restored, we made electrophysiological patch-clamp recordings of synaptic GABA_A-mediated currents using hippocampal slices from P7 rat pups and cultured for up to 2 weeks.²⁷ Slices were exposed to our routine GA protocol (25 µg/ml midazolam, 70% nitrous oxide, and 0.75% isoflurane for 24 h). mIPSCs were recorded in pyramidal neurons of the region comprising hippocampal CA1 and subiculum up to 10 days posttreatment (fig. 11) in the presence of 1 µM tetrodotoxin (to block action potentials) and 5 µM 2,3-dihydroxy-6-nitro-7-sulfamoyl-benzo[f]quinoxaline-2,3-dione and 50 µM d-2R-amino-5-phosphonovaleric acid (to block α -amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid and *N*-methyl-D-aspartate currents, respectively). Original traces of mIPSCs from representative hippocampal neurons in controls (black trace), GA (red trace), NaB (blue trace), and GA + NaB (green trace) experimental groups are depicted in figure 11A. Bar graphs showing averages from multiple experiments of the frequency, amplitude, half-width, and decay of recorded mIPSCs are depicted in figure 11B for the four groups as follows: controls (black bars, $n = 22$ neurons), GA (red bars, $n = 23$ neurons), NaB (blue bars, $n = 16$ neurons), and NaB + GA (green bars, $n = 20$ neurons). The first two parameters measured (frequency and amplitude) were not affected significantly by different treatments, as assessed by one-way ANOVA. On the other hand, one-way ANOVA revealed a significant slowing (about 15%) of current kinetics by GA treatment as compared with the control group [half-width of GA *vs.* controls: $F(3,77) = 7.64$, $P < 0.001$, and the decay time of GA *vs.* controls: $F(3,77) = 9.47$, $P < 0.001$]. The *post hoc* analysis further revealed that these parameters were increased significantly in neurons exposed to GA compared with the sham group ($*P = 0.014$ and $**P = 0.006$, respectively), suggesting alterations in postsynaptic GABA_A receptor-mediated currents. Importantly, NaB had no significant effect when applied alone, yet the addition of NaB to GA reversed the half-width and the decay time values to the control level, as evidenced by comparison to the sham group ($P = 0.383$ and $P = 0.251$, respectively) and GA alone ($***P < 0.001$ for both parameters).

In studies of action potential-independent miniature synaptic events, it is generally accepted that any changes in the frequency of events reflect presynaptic mechanisms, whereas alterations of event amplitudes and/or kinetics usually reflect postsynaptic mechanisms. Hence, our data suggest that GA alters postsynaptic neurotransmission and that this alteration is influenced by the state of histone acetylation.

Discussion

Exposure of the immature brain to GA during critical stages of synaptogenesis causes substantial epigenetic modulations

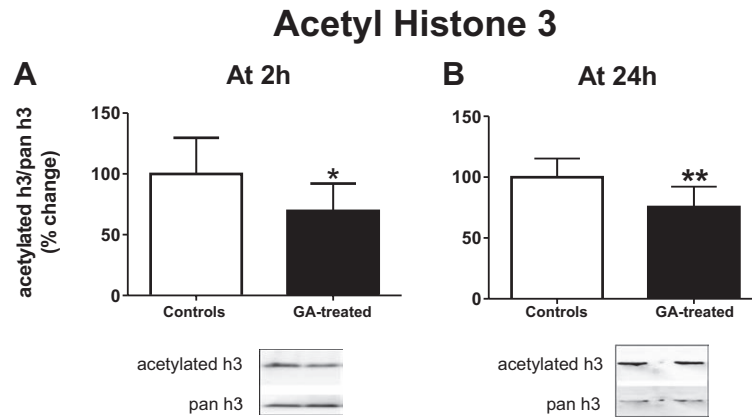


Fig. 5. Anesthesia causes significant hypoacetylation of histone-3 (h3) in the immature hippocampus. Anesthesia causes significant hypoacetylation at lysine residue 14 of histone-3 at 2 h (A; $n = 7$ to 8, $*P = 0.042$) and at 24 h as compared with age-matched controls (B; $n = 9$, $**P = 0.005$). GA = general anesthesia.

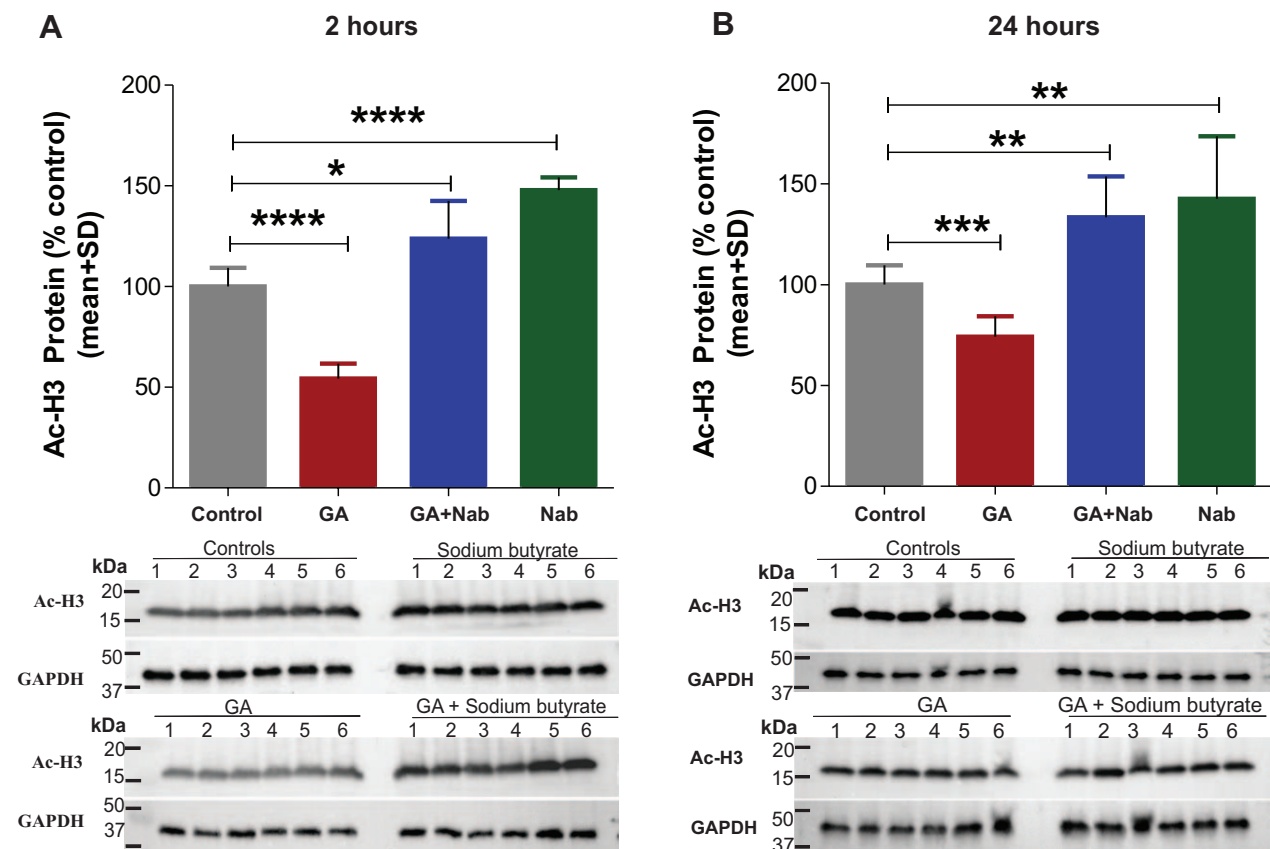


Fig. 6. The global histone deacetylase inhibitor sodium butyrate reverses general anesthesia (GA)-induced histone-3 hypoacetylation in the immature hippocampus. Sodium butyrate (NaB) (at 1.2 g/kg, intraperitoneal) was given 60 min before the tissue collection. (A) The GA-induced H3 hypoacetylation ($****P = 0.0001$, $n = 6$) is not only completely reversed, but there is a significant increase in acetylated H3 (Ac-H3) as compared with sham controls ($*P = 0.019$, $n = 6$). NaB alone, at this dose, also caused up-regulation of acetylated H3 as compared with sham controls ($****P = 0.0001$, $n = 6$ rat pups per data point). (B) NaB also reversed the GA-induced decrease in acetylated H3 at 24 h ($***P = 0.001$, $n = 6$) and also resulted in significant increase in acetylated H3 compared with sham controls ($**P = 0.004$, $n = 6$). Again, NaB alone caused an increase in acetylated H3 as compared with sham controls ($**P = 0.009$, $n = 6$). GAPDH = glyceraldehyde phosphate dehydrogenase.

manifested as H3 hypoacetylation and impairment of CBP activity resulting in down-regulated expression of the important target genes *BDNF* and *c-Fos*. Reversal of

histone hypoacetylation with the global HDAC inhibitor NaB improves GA-induced morphological and functional impairments of neuronal development and synaptic

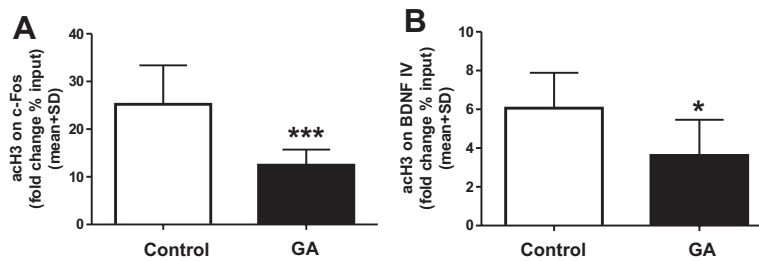


Fig. 7. Anesthesia causes histone-3 hypoacetylation in cAMP-responsive element-binding (CREB) sites in the promoter regions of target genes cellular Finkel-Biskis-Jinkins murine sarcoma virus osteosarcoma oncogene (*c-Fos*) and brain-derived neurotrophic factor (*BDNF*) in the immature hippocampus. We used individual loci-specific chromatin immunoprecipitation assays and quantified the amount of DNA associated with hypoacetylated H3 on *c-Fos* and exon IV for *BDNF* genes. (A) Anesthesia significantly decreased the expression of acetylated histone-3 (acH3) in the *c-Fos* promoter at the CREB transcription start sites (n = 8, ***P = 0.001). (B) Anesthesia significantly decreased the expression of acetylated histone-3 (acH3) in the *BDNF* promoter at the CREB transcription start sites (n = 6, *P = 0.04). GA = general anesthesia.

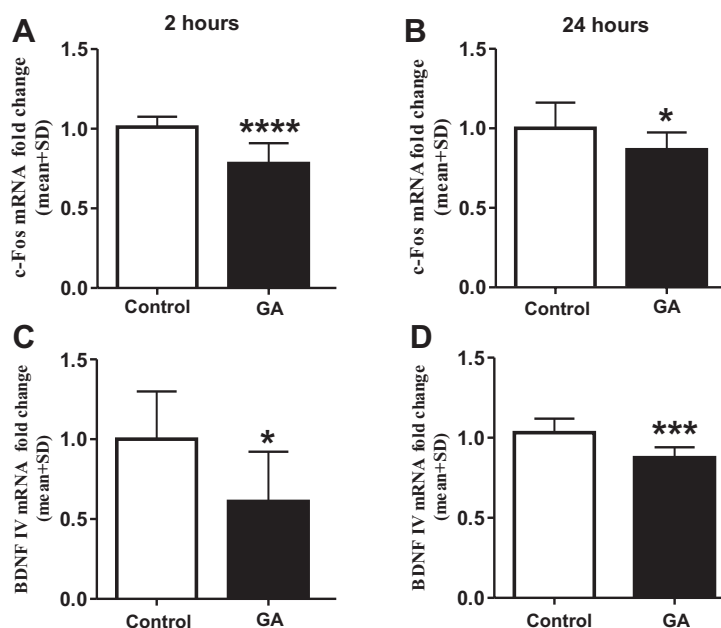


Fig. 8. Anesthesia decreases transcription of target genes cellular Finkel-Biskis-Jinkins murine sarcoma virus osteosarcoma oncogene (*c-Fos*) and brain-derived neurotrophic factor (*BDNF*) in the immature hippocampus. Using real-time polymerase chain reaction, we determined the messenger RNA (mRNA) expression of *c-Fos* (A and B) and *BDNF* (exon IV; C and D). Anesthesia exposure caused significant decrease in *c-Fos* mRNA at 2 h (****P = 0.0001, n = 10; A) and at 24 h (*P = 0.025, n = 12; B). Similarly, the expression of *BDNF* mRNA was significantly decreased at 2 h (*P = 0.028, n = 7 to 8; C) and at 24 h after anesthesia (***P = 0.001, n = 8; D) as compared with sham controls. GA = general anesthesia.

communication. These findings suggest that GA-induced epigenetic modulations could be responsible for the long-term impairments of neuronal development and synaptic communication that we and others have been reporting for over a decade.

Activity-dependent transcription is the mechanism by which neurons convert brief cellular changes into stable alterations in brain function that constitute a form of “molecular memory.”³² Enzymatic modifications (e.g., acetylation, methylation, phosphorylation) of amino acids in the N-terminus of histones lead to dramatic changes in chromatin structure.³³ Our findings suggest that GA exposure causes H3 hypoacetylation of N-terminal lysines, an

epigenetic change known to result in the condensed conformation of chromatin less conducive to transcription.³⁴ We show that the transcription of two target genes of interest, *BDNF* and *c-Fos*, was indeed decreased by GA, a change that occurred at least in part due to histone hypoacetylation in their promoter regions. Conversely, it has been shown that the up-regulation of histone acetylation by inhibition of HDAC enzymes (which remove acetyl groups from lysines on histone tails) increases the expression of genes critical for memory formation (*BDNF* in particular).¹³

Histone acetylation levels are determined by a balance of the activities of HATs and HDACs, each family comprises several isoforms.³⁵ Although our study was not designed

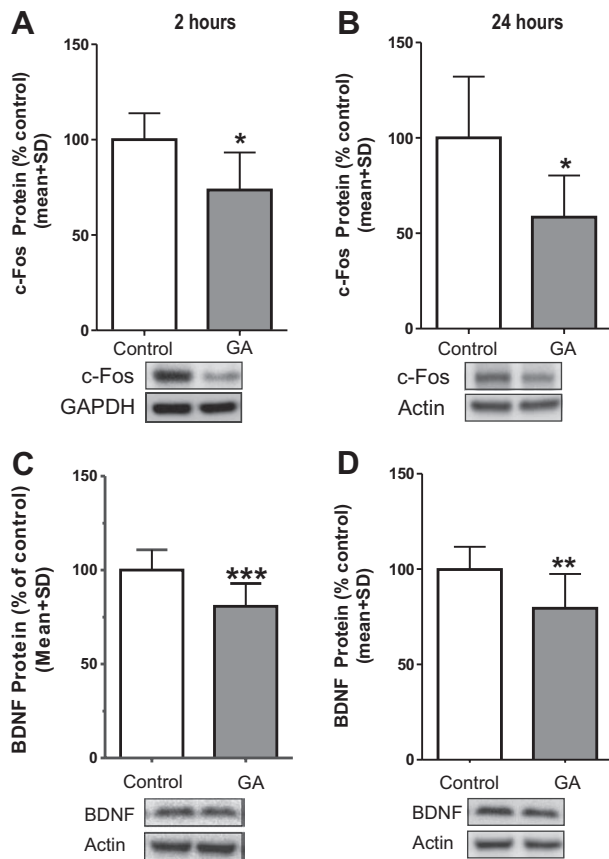


Fig. 9. Anesthesia down-regulates expression of cellular Finkel-Biskis-Jenkins murine sarcoma virus osteosarcoma oncogene (c-Fos) and brain-derived neurotrophic factor (BDNF) protein in the immature hippocampus. We used Western blotting to assess the protein levels of c-Fos (A and B) and BDNF (C and D). Anesthesia causes a decrease in expression of c-Fos when measured at 2 h (A; * $P = 0.02$, $n = 6$) and at 24 h (B; * $P = 0.015$, $n = 7$) as compared with age-matched controls. Anesthesia causes a decrease in BDNF protein when measured at 2 h (C; *** $P = 0.0002$, $n = 14$) and at 24 h (D; ** $P = 0.0016$, $n = 14$) as compared with age-matched sham controls. GA = general anesthesia; GAPDH = glyceraldehyde phosphate dehydrogenase.

to scrutinize GA-induced effects on each isoform, we show that GA does not have an overall effect on the activity of the HDAC family. Hence, we think that hypoacetylation most likely is caused by GA-induced down-regulation of CBP, the best characterized HAT³³ and a coactivator of the transcription factor CREB. CBP targets BDNF and c-Fos promoters and facilitates their transcription (1) *via* acting as a scaffolding protein interacting directly with components of the RNA polymerase II complex and recruiting them to the gene promoter, and (2) *via* its intrinsic HAT activity, which results in chromatin relaxation.⁹ Thus, CBP is a link between epigenetic mechanisms and classic gene regulatory mechanisms. CREB and CBP are regulated by neuronal activity, and their interaction is critical for transcription of genes essential for brain development. We suggest that

GA-induced down-regulation of CBP expression and its HAT activity results in H3 hypoacetylation in the promoter regions of target genes *BDNF* and *c-Fos*, which in turn inhibits their transcription.

Interestingly, our data suggest that down-regulation of CBP protein expression most likely is caused by CBP degradation to fragments having impaired HAT activity.³⁶ Although the exact mechanism of CBP fragmentation remains to be determined, activation of apoptotic cascades, in particular, the activation of caspase-6, was shown to increase CBP cleavage, suggesting that CBP is very sensitive to apoptotic activation.³⁷ Considering that GA exposure during critical stages of synaptogenesis results in massive apoptotic activation as shown previously¹ and increase in fragmentation of lamin (fig. 4), a substrate of activated caspase-6, it is reasonable to propose that GA-induced CBP fragmentation during critical stages of synaptogenesis might be an apoptosis-induced phenomenon. Excessive CBP fragmentation has been implicated in Alzheimer's disease and was reported to promote amyloid accumulation.³⁷

It is noteworthy that a similar decrease in CBP protein expression also has been reported in heterozygous CBP mutant mice, which have significantly reduced content of acetylated histones and prominent learning deficits.³⁸ It is intriguing and worrisome that a single exposure to GA during critical stages of synaptogenesis could mimic epigenetic changes observed in CBP mutant mice.

Modulation of neuronal activity impacts several kinase pathways that drive c-Fos and CREB phosphorylation, thus controlling their interaction with CBP and leading to transcriptional activation or inhibition at specific promoters. Both c-Fos and CREB are exquisitely sensitive to changes in neuronal activity, and CREB is the best understood of the transcription factors that regulate changes in gene expression necessary for acquisition and storage of new memories.^{14,15} Our data show that the triple anesthetic cocktail causes significant down-regulation of c-Fos and CREB proteins in developing hippocampus and suggest that this effect may be mediated *via* modulation of neuronal activity. We found that GA increased the Ser-133-phosphorylated (activated) fraction of CREB. Although surprising considering GA-induced epigenetic changes, our observation is similar to one made by Barrett *et al.*³⁹ regarding CREB activation accompanying down-regulated CBP expression. They showed that neurons lacking CBP maintained phosphorylation of the transcription factor CREB, yet failed to activate CREB/CBP-mediated gene expression. It is possible that the phosphorylation of CREB is maintained in an attempt to compensate for GA-induced decrease in CBP content and activity.

HDAC inhibitors have been used in an attempt to increase or "normalize" histone acetylation and relax chromatin structure to improve access to transcription factors and, thus, lead to increased gene transcription.^{40,41} We used the global HDAC inhibitor NaB, which crosses the blood-brain barrier^{42,43} but does not have particular specificity for

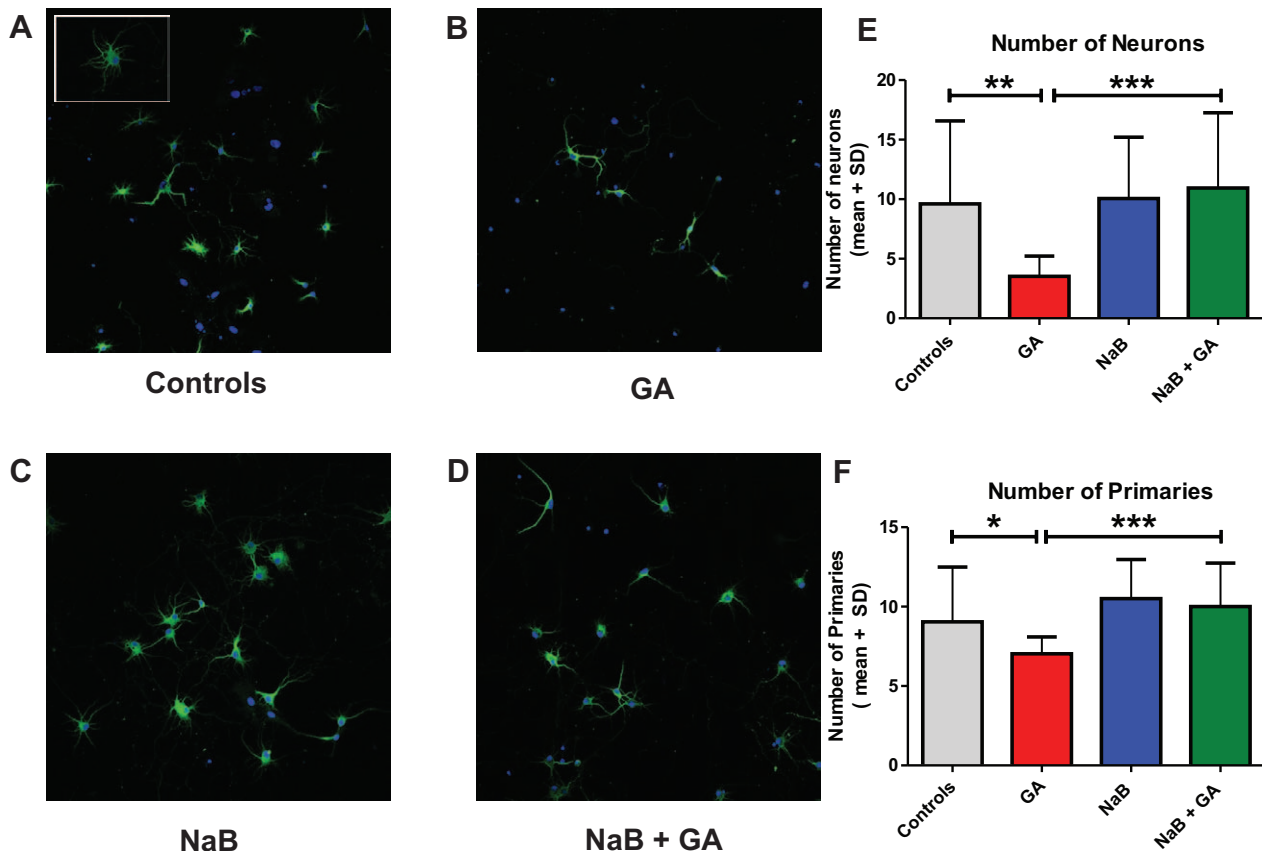


Fig. 10. Anesthesia-induced impairment of morphological development in cultured hippocampal neurons is reversed with the restoration of histone-3 acetylation status. We used cultured hippocampal neurons from E18 rat fetuses and exposed them to anesthesia (25 μ g/ml midazolam, 70% nitrous oxide, and 0.75% isoflurane for 24 h) at day 1 *in vitro* 3. (A) Normal morphological appearance of hippocampal neurons in culture is typically stellar with well-developed neuronal processes forming a rich arborization. (B) Three days postanesthesia treatment, hippocampal cells exhibit significant impairment in arborization, shown as decreased number of primary branches, thus giving neurons a bipolar instead of a stellar appearance. (C) Compared to controls, the global histone deacetylase inhibitor sodium butyrate (NaB, at 5 mM) had no effect on dendritic arborization. (D) NaB coadministered with anesthesia caused a complete abolishment of the anesthesia-induced impairment in dendritic arborization. (E) The quantification shows that anesthesia caused a significant decrease in neuronal density compared with controls ($**P = 0.002$, $n = 15$ scenes per data point), an effect that was abolished completely with NaB ($***P = 0.0002$, $n = 15$ scenes per data point). (F) The quantification of primary processes showed that anesthesia caused a significant decrease in the number of primary dendritic branches ($*P = 0.04$, $n = 13$ to 15 neurons per data point), an impairment that was reversed completely with NaB ($***P = 0.0005$, $n = 15$ scenes per data point) (green fluorescence, MAP-2 to label neurons; blue fluorescence, DAPI staining for nuclei; magnification $\times 20$). GA = general anesthesia.

any of the HDAC isoforms, in a proof-of-concept experiment to argue that GA-induced histone hypoacetylation, and consequent impairment in neuronal development and synaptic neurotransmission, could be reversed with restoration of H3 acetylation. It is noteworthy that NaB also exhibits other biological effects such as anti-inflammatory^{44–46} and neuroprotective effects^{45,46}; it may stabilize the blood–brain barrier after a stroke⁴⁵ and also was shown to stimulate neurogenesis.⁴⁷ Hence, further studies are needed to scrutinize the role of isoform-specific and more selective HDAC inhibitors in reversing GA-induced histone hypoacetylation.

Epigenetic changes are critical for long-term memory storage.^{12,13} For instance, the down-regulation of CBP protein together with significant reduction in histone acetylation was accompanied by impaired late-phase hippocampal

long-term potentiation and learning deficits^{35,38,48} similar to those described post-GA exposure.¹ Furthermore, CBP mutations have been shown to result in reduced CBP protein content and in histone acetylation accompanied by impaired late-phase hippocampal long-term potentiation and learning deficits.^{38,39,48} Considering that early exposure to GA causes significant impairment in dendritic arborization, a morphological substrate for the formation of neuronal connections and synaptogenesis, and that it jeopardizes the survival of existing dendritic spines and synapses, as well as the formation of new ones,^{19,49–51} our findings with NaB suggest that histone hypoacetylation is an important factor in functional impairments of synaptic neurotransmission in developing hippocampus.⁴ Indeed, they suggest that GA alters presynaptic neurotransmission and that this alteration is influenced

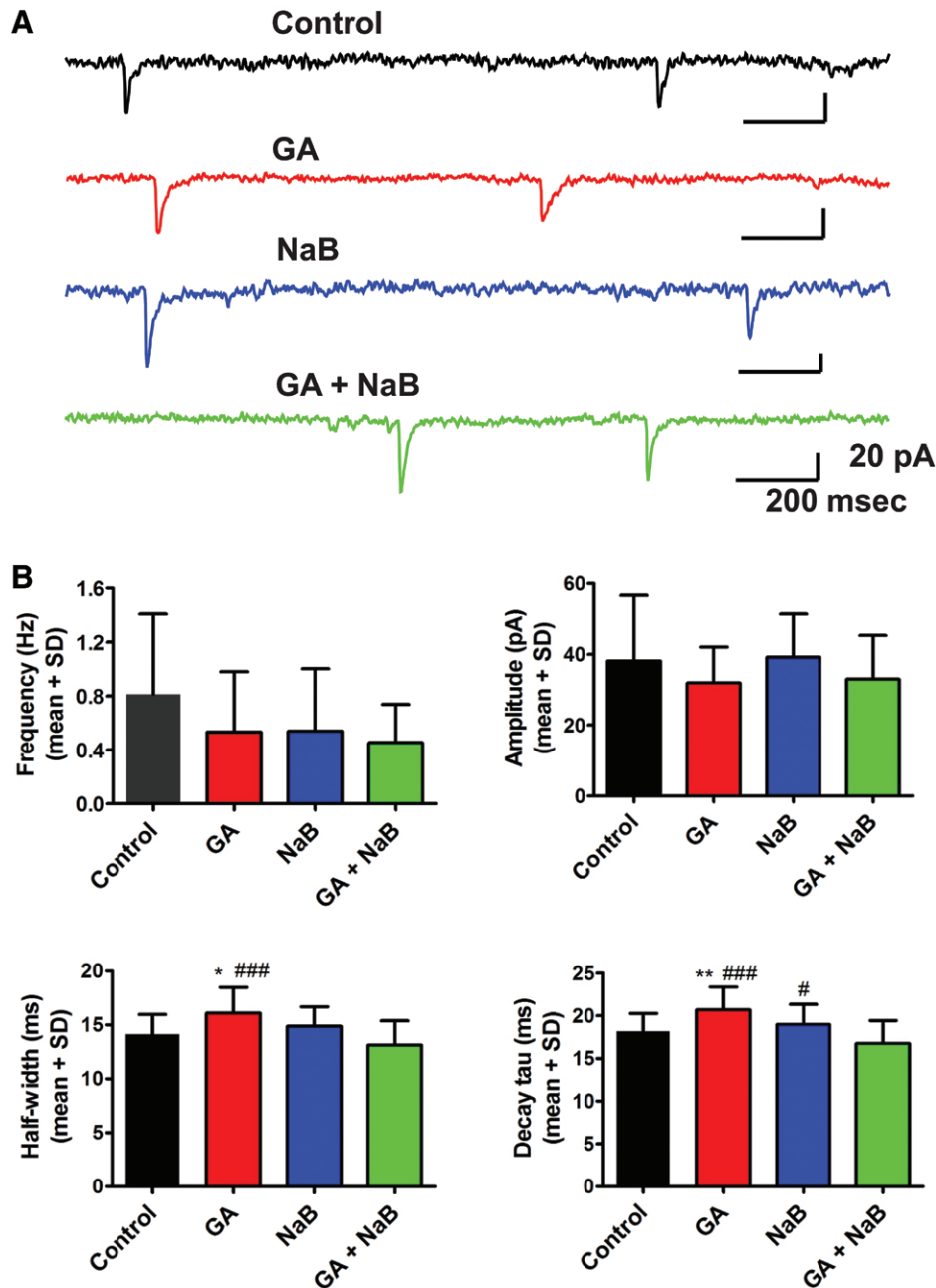


Fig. 11. Anesthesia-induced impairment of inhibitory synaptic neurotransmission in hippocampal slice cultures is reversed with the restoration of histone-3 acetylation status. (A) Representative sample of original miniature inhibitory postsynaptic currents (mIPSC) traces from controls-treated (black), general anesthesia (GA)-treated (red), sodium butyrate (NaB)-treated (blue), and GA + NaB-treated (green) hippocampal neurons. (B) Plots describing frequency, amplitude, half-width, and decay tau of all mIPSC events in the following groups: black bars (controls, $n = 22$ neurons), red bars (GA, $n = 23$ neurons), blue bars (NaB, $n = 16$ neurons), and green bars (NaB + GA, $n = 20$ neurons). All symbols represent means \pm SD; * $P < 0.05$; ** $P < 0.01$ versus controls; # $P < 0.05$; ### $P < 0.001$ versus GA + NaB, one-way ANOVA followed by the Tukey test.

by the state of histone acetylation. Since GABA_A currents represent the main excitatory drive in developing hippocampal neurons,⁵² we suggest that GA-induced slowing of mIPSC kinetics could induce lasting and perhaps excessive depolarization that could hamper brain development and, in part, explain observed impairments in long-term potentiation we have reported previously.¹

Many epigenetic changes can be detected long after the initial environmental or pharmacological influences are removed. However, *c-Fos* and *BDNF* belong to the immediate early gene family and undergo very rapid epigenetic modifications^{53,54} necessitating an early analysis of the histone acetylation status of their respective promoters (in particular at the CREB-binding site), as we have done in this

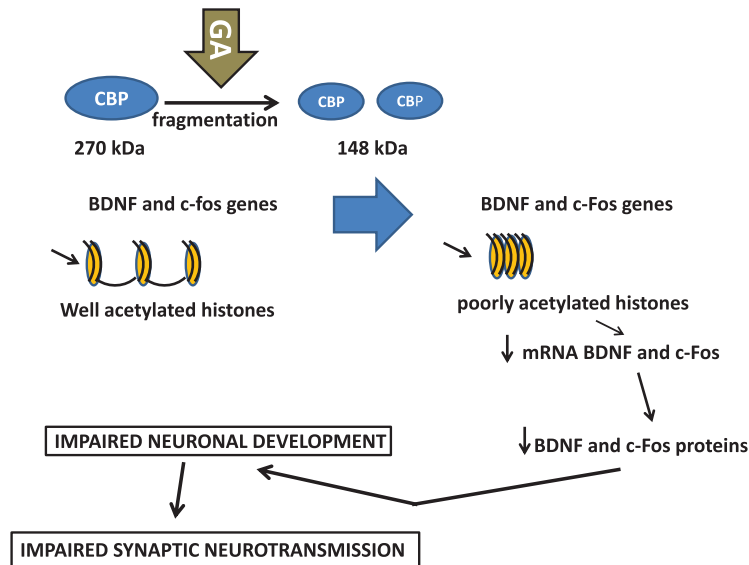


Fig. 12. Proposed cascade of epigenetic events responsible for anesthesia-induced neurotoxicity during critical stages of synaptogenesis. Anesthesia promotes excessive cAMP-responsive element-binding protein (CBP) degradation (fragmentation from 270 to 148 kDa), thus down-regulating full-length CBP protein with consequent decrease in its histone acetyltransferase (HAT) activity. This decreased HAT activity, in turn, causes histone-3 hypoacetylation, an epigenetic change that leads to more condensed chromatin structure less conducive to transcription of the target genes brain-derived neurotrophic factor (BDNF) and cellular Finkel-Biskis-Jenkins murine sarcoma virus osteosarcoma oncogene (c-Fos). BDNF and c-Fos are critical for neuronal morphological development. An impairment in proper dendritic arborization leads to impaired neuronal connectivity resulting in faulty formation of neuronal circuits and compromised synaptic neurotransmission. GA = general anesthesia; mRNA = messenger RNA.

study. Despite the rapid (and in several models, transient) epigenetic changes in c-Fos and BDNF promoters, these modulations have long-lasting influence *via* the activator protein-1 complex and activator protein-1 binding region on promoters of numerous later response genes that participate in processes crucial for neuronal development and survival.⁵⁵

Based on our findings, we propose a cascade of events initiated by GA-induced epigenetic modulations (fig. 12). By promoting CBP degradation, GA induces significant down-regulation of full-length CBP protein, which results in a decrease in its HAT activity. This, in turn, causes H3 hypoacetylation, an epigenetic change that leads to more condensed chromatin structure less conducive to transcription of the target genes *BDNF* and *c-Fos*. Inhibition of c-Fos and of BDNF transcription has been shown to involve changes in chromatin structure brought about by posttranslational histone modification similar to the ones we report here.^{56–58} BDNF and c-Fos are critical for cognitive development^{56,59}; they modulate the strength of existing synaptic connections, act in the formation of new synaptic contacts,^{56,60,61} and are modulated by an early exposure to GA.^{49,62,63}

Our anesthesia protocol, a reliable model for studying developmental neurodegeneration, uses several anesthetics in combination, as is done in clinical anesthesia. Therefore, we are unable to assign the relative contribution of each agent to the epigenetic modulations we describe. Further studies with individual anesthetics may help to decipher their

relative importance; however, their promiscuous nature still may hamper our ability to discern the specific mechanisms that may be important in epigenetic modulations.

In conclusion, we show that exposure to GA during critical stages of synaptogenesis results in significant epigenetic modulations manifested as posttranslational modification of H3 acetylation status. Although still unconfirmed, it is reasonable to propose that long-lasting^{1,64} and transgenerational⁸ impairments in cognitive development reported after an early exposure to GA could be caused by the epigenetic changes.

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Competing Interests

The authors declare no competing interests.

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